



CAB/1759/DL
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2018-06-11

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB) Meeting 43, Geneva, 2018-06-11

SUBJECT
List of the decisions from CAB meeting 43, Geneva (CH), 2018-06-11

Decisions by correspondence between meetings

CAB Decision 43/01 — *Approval of IECRE 01-S Ed. 2*

The CAB, noting the document CAB/1705/DV, accepts the publication IECRE 01-S Ed. 2 Supplement to IEC CA 01.

Ref: CAB/1705/DV, 2017-10-26 and CAB/1705A/RV, 2017-11-27.

CAB Decision 43/02 — *IECRE Chair re-appointment*

The CAB, noting document CAB/1710/DV, accepts the nomination and re-appointment of Mr Sandy Butterfield as the IECRE Chair for a second three-year term of office (2018-2020).

Ref: CAB/1710/DV, 2017-11-17 and CAB/1710A/RV, 2017-12-18.

CAB Decision 43/03 — *IECEX Budget 2019*

Noting the positive ballot reported in CAB/1724A/RV, the CAB approved the IECEX budget for 2019 contained in CAB/1724/DV.

CAB Decision 43/04 — *IECQ Budget 2019*

Noting the positive ballot reported in CAB/1725A/RV, the CAB approved the IECQ budget for 2019 contained in CAB/1725/DV.

CAB Decision 43/05 — *IECEE Budget 2019*

Noting the positive ballot reported in CAB/1728A/RV, the CAB approved the IECEE budget for 2019 contained in CAB/1728/DV.

Decisions taken at the meeting

CAB Decision 43/06 — *Approval of the agenda*

The CAB approved the agenda contained in CAB/1722C/DA with the modification that document CAB/1708A/RM the confirmed meeting report from the October 2017 CAB meeting, in Item 4.2, was removed from the consent agenda and added to the main agenda.

CAB Decision 43/07 — *Approval of the consent agenda items*

The document CAB/1708A/R, in Item 4.2, was removed from the consent agenda and added to the main agenda. CAB then, by consent, noted and approved the 18 documents listed in Annex A to this decision list as consent agenda documents, and expressed appreciation to all those concerned with their creation and submission.

CAB Decision 43/08 — *CAB Action items Spreadsheet*

The CAB proposed that the follow-up actions report be converted to an Excel spreadsheet format and made available on the CAB collaborations tools platform.

ACTION: the CAB Secretariat was tasked with completing this action before the CAB meeting in Busan.

CAB Decision 43/09 — *CAB subgroups membership*

The CAB noted document CAB/1719/INF, appointed the new members identified therein to the respective Working Groups and added Mr David Brière to WG 10, WG 17 and WG 18, Mr Mark Amos to WG 17 and Mr Marty Cole to WG 11, who had all previously been accepted into these subgroups, but after circulation of the document. Mr Ted Gaertner was approved as the Head of Delegation to the IEC/IAF/ILAC Steering Committee.

CAB Decision 43/10 — *Liaisons & IEC coordinators to CASCO Working Groups*

The CAB noted that the role of liaisons and IEC coordinators to CASCO working groups was not sufficiently well defined and decided the following action.

ACTION: WG 10 was tasked with proposing role descriptions for liaisons and IEC coordinators to CASCO Working Groups for CAB approval and for inclusion in the IEC CA Directives.

CAB Decision 43/11 — *CAB subgroups meeting calendar*

The CAB noted document CAB/1754/INF and created an ad-hoc group with Mr David Hanlon as Convenor and members being the CAB subgroup Convenors.

ACTION: this ahG was tasked with establishing block weeks for the end of 2018 and 2019 (November or December), and the beginning of 2019 (January or February), and report back to CAB before its next meeting in Busan.

CAB Decision 43/12 — *Gratitude to Antoinette Price*

The CAB expressed its gratitude and appreciation to Ms Antoinette Price, from the IEC Marketing and Communications Department, for her interesting and informative report.

CAB Decision 43/13 — *Gratitude to Katharine Fraga*

The CAB expressed its gratitude and appreciation to Mrs Katharine Fraga, Head of IEC Governance and Global Strategy, for information concerning the 2017 Masterplan implementation plan.

CAB Decision 43/14 — *Report from ahG on Rules for Committees*

The CAB thanked the Convenor, Mr Simon Barrowcliff, for the report given in document CAB/1738A/R, congratulated the group on its work, and decided to treat the recommendations given in the report as follows:

A.1: CAB approved the “Rules for the Establishment and Operation of CAB Committees” given in Annex A of CAB/1738A/R, with the inclusion of the clarifying sentence in the received comment from Mr Cole.

A.2: CAB agreed that these rules should be included into the IEC CA Directives document.

A.3: The ahG was disbanded.

In implementing these new rules CAB would also require a cost benefit analysis as part of the proposal to establish a new committee.

CAB Decision 43/15 — *Report from CAB WG 10 – CA Policy and Strategy*

The CAB thanked the Working Group for its report given in CAB/1733B/R, recognized that progress had been made on the SWOT analysis, which was a step towards the development of an IEC CAB Strategic Plan and, while noting that there were outstanding tasks from CAB Decision 42/04 A4, A5 and A6, instructed the WG to give priority to the Masterplan implementation plan and the seven items that would need CB TF approval in November 2018.

CAB Decision 43/16 — *New Convenor for CAB WG 10 – CA Policy and Strategy*

The CAB gave special thanks to the Convenor, Mr Shawn Paulsen, for his leadership over the last six years, and accepted his request to step-down due to his new role as CAB Chair. CAB then approved Mr Steve Margis as the new Convenor, wished him success and urged him to quickly lead the group forward.

CAB Decision 43/17— *Report from CAB WG 11 – CA Systems’ issues*

The CAB thanked the Convenor, Mr Tim Duffy, for the report given in document CAB/1749A/R and decided, with the provision that this item would be re-discussed at the next meeting in June 2019, to treat, at this time, the recommendations given therein as follows:

A.1: CAB approved the use of the spreadsheet (given on page 4 of the report) across the IEC CA Systems in the annual reporting by Member Bodies according to Clause 5.1 of IEC CA 01 Ed 2.0, and tasked the CA Systems Secretariats with its implementation, and providing a summary report to CAB by its June 2019 meeting.

A.2: CAB approved the proposed additional text to Clause 5.1 of IEC CA 01 Ed 2.0 and its placement as indicated on page 3 of the report, and tasked the CAB Secretariat with updating the IEC CA 01 to include clause 7.6 in edition 2.1.

The CAB then urged WG 11 to move forward quickly to complete its remaining tasks by the June 2019 meeting and to give an update report at the next CAB meeting in Busan.

CAB Decision 43/18 — *Report from CAB WG 14 - Promotion*

The CAB thanked the Convenor, Mr Ian Forte, for the report given in document CAB/1732A/R, appreciated the efforts and results of the first webinar held in March this year and encouraged them in their work towards the two remaining webinars of this year.

CAB Decision 43/19 — *IEC CA promotions booth at IEC GM in Busan*

The CAB appreciated the explanations from Mr Eung-Ro Lee concerning the proposal for an IEC CA promotions booth at the IEC GM in Busan, approved this initiative and tasked WG 14 with its realisation including coordination with the IEC Communications department, the IEC CA Systems Executive Secretaries and with the Korean organizers.

CAB Decision 43/20 — *French NC proposal for dedicated promotions staff member*

The CAB recognized the points made in the French National Committee proposal, document CAB/1742A/INF, that demand for new IEC CA services was anticipated to increase and that the current services were not as well-known as they could be, as shown by a lack of new Member Bodies requesting to join those services. The CAB therefore agreed to establish an ahG to study this proposal and to provide a report to CAB in Busan. The recommendation shall also investigate the issue of financing such a position and its activities. Mr Pierre Selva was named as Convenor with the following members Mr Rajeev Vagdia, Mr Jorg Hartge, Mr Rafael Nava, Mr Gerhard Imgrund, Mr Thorsten Arnhold, Mrs Marie-Elizabeth d'Ornano, and Mr David Hanlon as secretary.

CAB Decision 43/21 — *Report from CAB WG 17 – Cyber Security*

The CAB thanked the Convenor, Mr Pierre Selva, for the report given in document CAB/1737A/R and urged the group to move quickly toward a situation where it could make recommendations for CAB consideration at its next meeting in Busan.

CAB Decision 43/22 — *European Cybersecurity*

The CAB proposed that the IEC General Secretary coordinate with CENELEC to contact the European Commission and ENISA for a high level meeting on standards and conformity assessment cybersecurity issues at the EU level.

CAB Decision 43/23 — *Report from WG 18 - BizL*

The CAB thanked the interim Convenor, Mr Gerhard Imgrund, for the report given in document CAB/1720/R, and decided to treat the recommendations given therein as follows:

A.1: CAB approved the list (BizL) given in Annex 1 to CAB/1720/R for consideration by the CA Systems.

A.2: CAB agreed that the content of Annex 1 to 4 in CAB/1720/R should be converted into a standing document, published and made available on the CAB webpage, and tasked the CAB Secretariat with this realisation.

By agreeing to recommendation A.2, CAB has also agreed to recommendations A.3, A.5 and A.6.

A.4: CAB confirmed its Decision 41/22 that the BizL was a living document.

A.7: The CAB Chair agreed to act as the liaison for WG 18 to the group SMB/SG 11 Hot Topics Radar.

The CAB also tasked WG 18 with proposing a bidirectional procedure to (1) inform the responsible person(s) and CA Systems (that have been identified in the BizL) of their corresponding topic(s) in the BizL, and to

(2) regularly and proactively collect feedback from them about the market readiness for global CA services for their identified topics (and any other relevant information they may wish to provide),

to assist WG 18 in tracking market needs and setting priorities for new IEC CA services.

CAB Decision 43/24 — *New Convenor for WG 18 - BizL*

The CAB approved Mr Gerhard Imgrund as the new Convenor of WG 18.

CAB Decision 43/25 — *Report from CAB liaison to ACEE – Energy Efficiency*

The CAB thanked its liaison officer, Mr Toshiyuki Kajiya, for the report given in document CAB/1747A/R and encouraged him to continue with this important liaison work.

CAB Decision 43/26 — *Report from CAB liaison ACART – Robotics*

The CAB thanked its liaison officer, Mr Byoung Kuk Lee, for the report given in document CAB/1736/R and encouraged him to continue with this important liaison work.

CAB Decision 43/27 — *Report from CAB liaison to SEG 7 – Smart Manufacturing*

The CAB thanked its liaison officer, Mr Bert Nagtegaal, for the report given in document CAB/1746/R and encouraged him to continue with this important liaison work.

CAB Decision 43/28 — *IECRE mid-year report*

The CAB, noting document CAB/1730/R, endorsed the following documents:

- IECRE 02 Edition 3: Rules of Procedure
- IECRE 04 Edition 2: Rules of Procedure for the Certification of Photovoltaic Systems according to the IECRE-PV Schemes
- IECRE 05 Edition 1: Wind Energy – Operational Management Committee – Rules of Procedure (RoP)

CAB Decision 43/29 — *IEC 61400-22 status*

The CAB noting CAB Decision 41/32, which extended the transition period to end August 2018, tasked IECRE, in coordination with the IEC Communications department, IEC Sales department and with SMB, with creating a public announcement that IEC 61400-22 is withdrawn on August 31st 2018.

CAB Decision 43/30 — *French NC proposal concerning IECRE*

The CAB, noting documents CAB/1740/DC and CAB/1740A/CC, also noted that the revised IECRE Budget 2019, with a new financing model, had been sent to the IECRE Member Bodies for approval. The CAB therefore decided to defer any decision on this issue pending the results from the IECRE MB on the 2019 budget.

CAB Decision 43/31 — *Review of IECRE situation & current lessons learned*

In respect of the anticipated increase in demand for IEC global CA services, the CAB tasked the CAB Secretary, in consultation with IECRE Executive Secretary and other relevant persons, with conducting a review of the IECRE situation and providing a report on current lessons learned, with the goal of avoiding such a situation in the future, and to provide this report for CAB discussion at its meeting in June 2019.

CAB Decision 43/32 — *IECEX mid-year report*

The CAB, noting document CAB/1744/R, and recognizing that there were no matters for discussion or action by CAB, thanked the IECEX Chair for his additional verbal report.

CAB Decision 43/33 — *IECQ mid-year report*

The CAB noting document CAB/1745/R and recognizing that there were no matters for discussion or action by CAB, thanked the IECQ Chair for her additional verbal report.

CAB Decision 43/34 — *IECEE mid-year report*

The CAB, noting document CAB/1729/R, and recognizing that there were no matters for discussion or action by CAB, thanked the IECEE Chair for his additional verbal report.

CAB Decision 43/35 — *Gratitude to SMB Chair*

The CAB expressed its gratitude to the SMB Chair, Mr Ralph Sporer, for his address to CAB and particularly his remarks concerning the SMB Decision 161/16 that fees for attending meetings were not permitted, his information on the Hot Topics Radar and Digital Transformation. CAB also appreciated his openness that the AC/31/2017 concerning feedback from the IEC CA Systems to the IEC TC/SCs and the guidance document on CA in standards could become part of the IEC TC/SC Chair, Secretary and expert training and could be presented by IEC TOs at TC/SC plenary meetings.

CAB Decision 43/36 — *CAB position on fees for meetings*

The CAB re-affirmed its position that fees for attending IEC CA management committee or committee meetings and any meeting of subgroups reporting to them are not permitted.

ACTION: WG 10 tasked with including it in the IEC CA Policy document and referencing the ISO/IEC Directives Part 1 plus Supplement clause 4.1 on this issue.

CAB Decision 43/37 — *FinCom request for annual 3 year forecasts*

The CAB, noting document CAB/1757/INF, established an ad-hoc group to review the request from FinCom and to make recommendations on how to best capture the required information and provide their report to CAB at its next meeting in Busan. The ahG is also tasked with identifying various ways to offset costs of CA Systems' management committee meetings. The ad-hoc group membership was as follows, Mr Pierre Selva as Convenor, Mr Kerry McManama, Mr Simon Barrowcliff, Mr Chris Agius and Mr Tim Duffy and suggested additional members Mr Thierry Houeix, Mr Wynn Bowman and Mr Lars Sitzki.

CAB Decision 43/38 — *Gratitude to the ISO/CASCO Secretary*

The CAB expressed its gratitude to the ISO/CASCO Secretary, Mr Sean MacCurtain, for his presentation, and welcomed the close collaboration between the CASCO and CAB Secretariats. The CAB recognized the importance of CASCO's role in the development of the ISO/IEC 17000 series standards, which are so important for the IEC CA activities.

CAB Decision 43/39 — *Support for raised IEC visibility in ISO/CASCO*

The CAB, noting document CAB/1748/R, supported the notion that ISO/CASCO had been delegated by ISO and IEC to manage the development of the ISO/IEC 17000 series standards, and that therefore all public facing information and activities regarding CASCO should express this dual organizational situation. This included the CASCO webpages, promotional and informative publications, working group meetings, the CASCO Plenary meeting and so on. The reciprocal case concerning such IEC based elements was also true.

ACTION: the CAB tasked the CAB Secretariat to work together with the CASCO Secretariat to achieve these goals.

CAB Decision 43/40 — IEC Coordinators in ISO/CASCO WGs

The CAB, noting document CAB/1726/INF, thanked the IEC Coordinators in ISO/CASCO WGs for their important contribution to the representation of IEC in these working group meetings and their reporting to the CAB. The CAB therefore thanks IEC Coordinators Mr Heribert Schorn, Mr Mike Woebeking, Mr Chris Agius and Mr David Hanlon, and strongly encouraged them to continue in this important work.

CAB Decision 43/41 — Gratitude to UNECE presenter

The CAB expressed its gratitude and appreciation to Mrs Lorenza Jachia, the UNECE WP 6 Secretary, for her presentation, and further expressed its support for the UNECE WP 6 activities. The goal of achieving regulatory cooperation and harmonized international conformity assessment is a noble and worthwhile objective to which the IEC CA activities can and already do contribute. The CAB appreciates its very constructive relationship with UNECE WP 6, and expressed its commitment to continued cooperation in events and the development of joint documents such as the UN Common Regulatory Framework for Explosive Atmospheres from 2011, and currently the UN Common Regulatory Framework for Cybersecurity.

CAB Decision 43/42 — Gratitude to WTO presenter

The CAB expressed its gratitude to Mr Devin McDaniels, Economic Affairs Officer Trade and Environment Division of the WTO, for his presentation and further expressed its support for the WTO activities. The CAB noted with interest the achievement, over the last 20 years, of greatly harmonizing the use of international standards for national requirements, by the WTO members, and encouraged the WTO to focus on a similar achievement of harmonized conformity assessment over the next 20 years. The CAB also noted the contribution that the IEC CA Systems provide in line with the Good Regulatory Practice objectives of the WTO.

Annex A to CAB/1759/DL

List of Consent Agenda Documents for CAB meeting 43, Geneva (CH), 2018-06-11		
Document number	Subject	Agenda item N°
C/2100/INF	Appointment of two “Automatically Appointed Members” of the Conformity Assessment Board (CAB) by Council in Busan for a three-year term of office (2019-2021)	4.9
C/2101/DC	Nominations for the election/re-election by Council in Busan of two members of the Conformity Assessment Board (CAB) for a three-year term of office (2019-2021)	4.9
CAB/1655A/RV	Results of voting on the nominations for the 2017 IEC Thomas A. Edison Award	4.5
CAB/1705A/RV	Report of voting on the “IECRE 01-S Ed. 2 Supplement to IEC CA 01”	4.5
CAB/1710A/RV	Report of voting on the re-appointment of IECRE Chair for a second term of office	4.5
CAB/1715/INF	CAB membership, as of January 2018	4.8
CAB/1718/INF	SMB report to the CB following SMB meeting 161 held in Vienna (AT), on 2018-02-13	8.1
CAB/1721/INF	2018 deadlines for CAB documents, CA Systems’ budgets and audited accounts	4.7
CAB/1724A/RV	Report of voting on the Budget of the IECEx for 2019	4.5
CAB/1725A/RV	Report of voting on the Budget of the IECQ for 2019	
CAB/1727/R	IEC Coordinator report on ISO CASCO WG 46 activities: ISO/IEC 17029	9.1.3
CAB/1728A/RV	Report of voting on the IECEE Budget for 2019	4.5
CAB/1731/R	IEC Coordinator report on ISO CASCO/JWG 51 activities: ISO/IEC TS 17021-11	9.1.3
CAB/1734/R	IEC Coordinator report on ISO CASCO/WG 49 activities	9.1.3
CAB/1735/R	IEC Coordinator report on ISO CASCO/WG 23 activities	9.1.3
CAB/1741/R	IEC Coordinator report on ISO CASCO/WG 52 activities: ISO/IEC TR 17032	9.1.3
CAB/1743/R	Update report of ACSEC, Advisory Committee on Information Security and Data Privacy	6.7
CAB/1750/INF	CAB Chair report to CASCO	9.1.1