



INTERNATIONAL  
ELECTROTECHNICAL  
COMMISSION



# QPS Evaluation Services IECEX Industry Symposium *IECEX Past + Present*



**Chris AGIUS**

**IECEX Executive Secretary**

**5 September 2012**

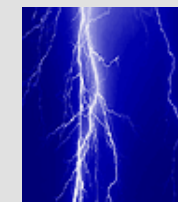
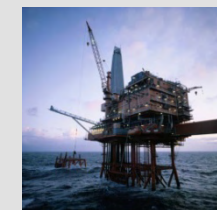


# Who is the IEC ?

- **International Electrotechnical Commission**
- **Founded in 1906 - Promote international co-operation on all questions of standardization and related matters in the fields of electrotechnology, including Conformity Assessment.**

## Operational Statistics – Standards Work

IEC Standards + Publications	<b>6,959</b>
Technical Committees	175
Dedicated WGs + Task Teams	1,174
Industry Experts - worldwide	<b><u>+10,000</u></b>



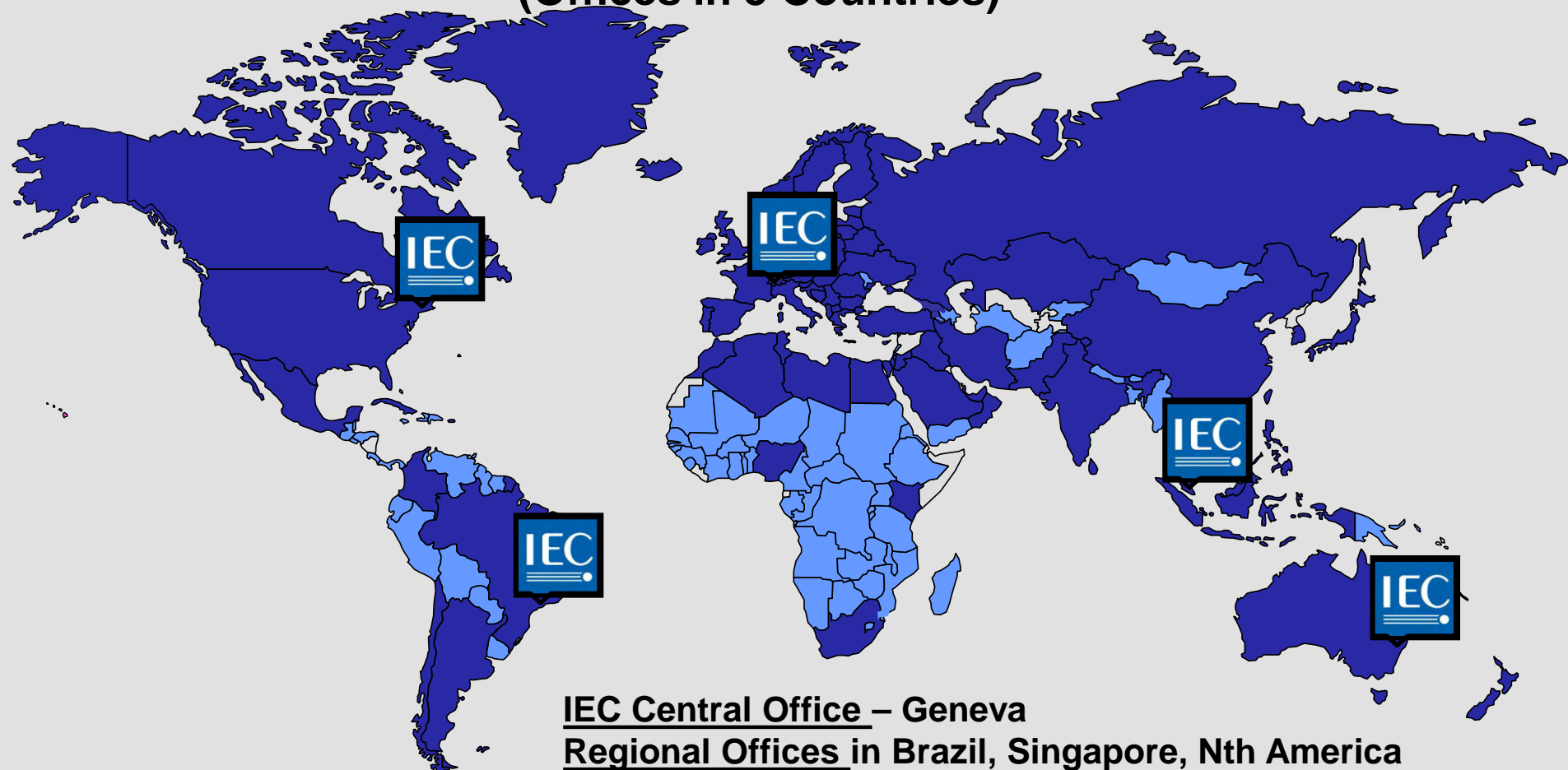


# IEC Family: 164 countries – Global Reach

**82 Members**

**82 Affiliates**

**(Offices in 5 Countries)**

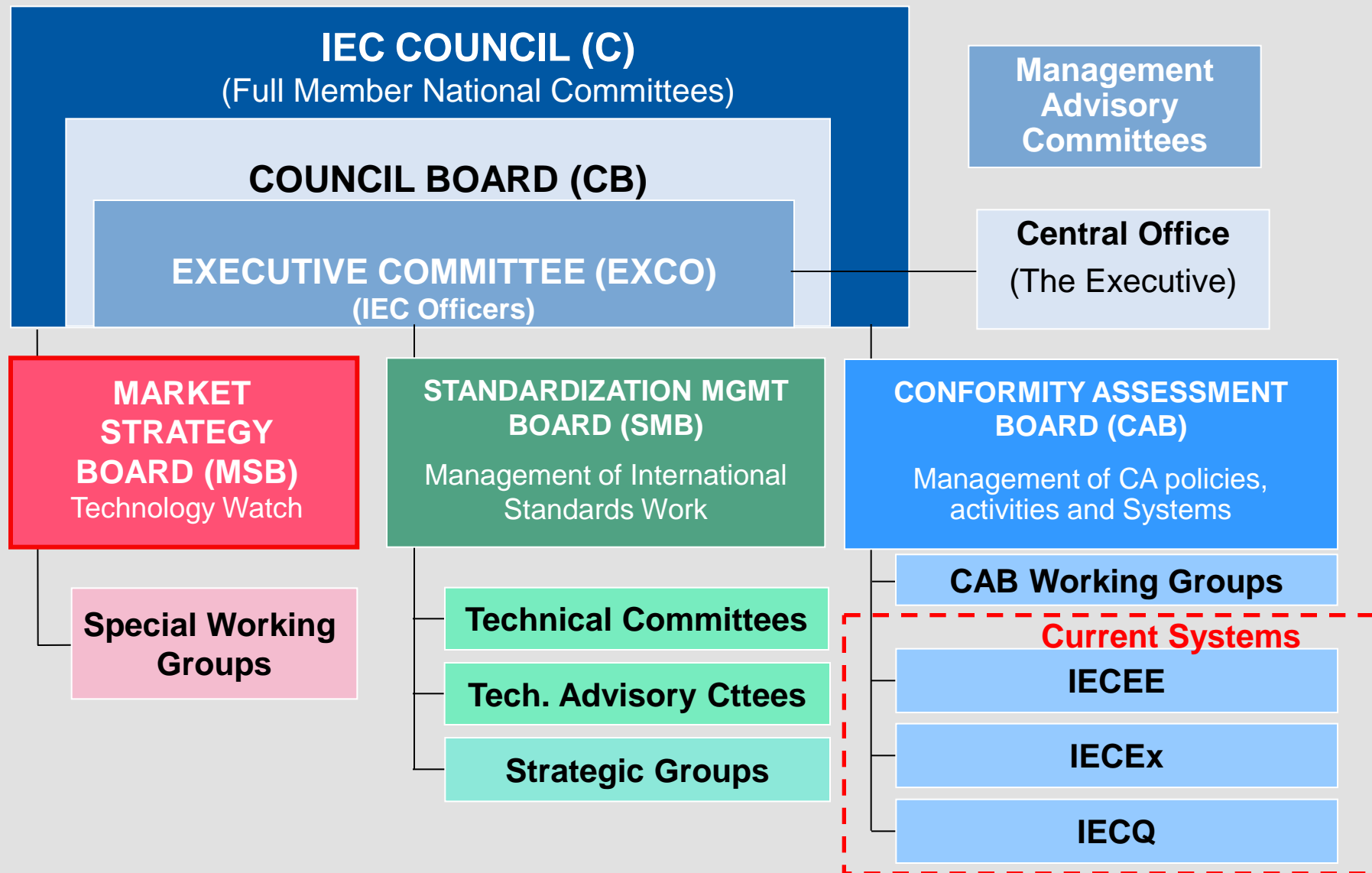


**IEC Central Office – Geneva**

**Regional Offices in Brazil, Singapore, Nth America  
and Australia**



# IEC Structure



## ■ Standards Work - New Technical committees:

- TC 114 “Marine Energy – Wave/Tidal/water current converters”
- TC 115 “High Voltage Direct Current Transmission - >100KV”
- TC 116 “Safety of motor operated electric tools”
- TC 117 “Solar Thermal electric plants”
- TC 118 “Smart grid user interface”
- TC 119 “Printed Electronics”
- SC 31M “Non-Electrical Committee for Ex”



## ■ Conformity Assessment – New/Emerging Activities:

- IECEx Personnel Certification Scheme
- IECEE Energy Efficiency Scheme
- New IECQ Programme for “Counterfeit Avoidance Electronic Components and Assemblies”
- Development of an IEC Scheme for Marine Energy Converters and Installations
- Development of an IEC Scheme for Wind Turbines



## “CONFORMITY ASSESSMENT”

INTERNATIONAL  
STANDARD

ISO/IEC  
17000

NORME  
INTERNATIONALE

First edition  
Première édition  
Первое издание  
2004-11-01

МЕЖДУНАРОДНЫЙ  
СТАНДАРТ

---

Conformity assessment — Vocabulary  
and general principles

Évaluation de la conformité —  
Vocabulaire et principes généraux

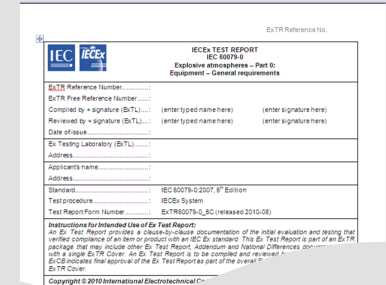
Оценка соответствия. Словарь и  
общие принципы

nt



## Test Report:-

- What Information is being conveyed by a Test Report?
- Who is the legal owner of the Test Report?
- Should an End User have free access to a Test Report, how?
- How long is a test report Valid, after its issue?



IECEx TEST REPORT  
IEC 60079-0  
Explosion atmospheres - Part 0:  
Equipment - General requirements

ExTR Reference Number: \_\_\_\_\_  
ExTR Reference Number: \_\_\_\_\_  
Compiled by: + signature (ExTR) \_\_\_\_\_ (enter typed name here) (enter signature here)  
Reviewed by: + signature (ExTR) \_\_\_\_\_ (enter typed name here) (enter signature here)  
Date of issue: \_\_\_\_\_  
Ex Testing Laboratory (ExTR) \_\_\_\_\_  
Address: \_\_\_\_\_  
Applicant's name: \_\_\_\_\_  
Address: \_\_\_\_\_  
Standard: IEC 60079-0:2007 3rd Edition  
Test procedure: IECEx System  
Test Report Form Number: ExTR60079-0-SC (released 2010-08)

**Instructions for Intended Use of Ex Test Report:**  
An Ex Test Report provides a close-by-close documentation of the initial evaluation and testing that verified compliance of an item or product with an IECEx standard. This Ex Test Report is part of an ExTR package that may include other Ex Test Report Addendum and National Differences documents as well as a single ExTR Cover. An Ex Test Report is to be complete and representative of the ExTR package. It is not to be used as a substitute for the ExTR package.  
Copyright © 2010 International Electrotechnical Commission  
Intending to Equipments for use in Explosive Atmospheres

## Certificate of Conformity (third Party according to ISO/IEC Guide 67)

- What Information is being conveyed by a Certificate?
- Who is the legal owner of the Certificate?
- Should an End User have free access to a Certificate, how?
- How long is a Certificate Valid, after its issue?



IECEx Certificate of Conformity  
INTERNATIONAL ELECTROTECHNICAL COMMISSION  
IEC Certification Scheme for Explosive Atmospheres  
www.iec.ch/iecex

Certificate No: IECEx PTFB 04.0085X Issue No: 0  
Date of issue: 14/01/2017 Page 1 of 1  
HOLDERS: PTB - Physikalisch-Technische Bundesanstalt  
PTB - B-330 35  
10589 Berlin  
Germany

Product description: EXPLOSION-PROTECTED ELECTRICAL EQUIPMENT  
Type of equipment: Electrical Equipment, Electrical Safety  
Reference: Pt 1a 10.71

Approved for use in explosive atmospheres:  
Certification body: PTB  
Product: Explosion-protected electrical equipment  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

This certificate is valid for the use of the equipment in the explosive atmosphere as described in the certificate. The certificate is not valid for other uses. The certificate is not valid for use in other countries. The certificate is not valid for use in other countries. The certificate is not valid for use in other countries.

Certificate issued by: Physikalisch-Technische Bundesanstalt (PTB)  
10589 Berlin  
Germany

# Ex - IEC 60079-0 Clause 28.1, 28.2, 28.3 +29.3

## 28.2 Certificate

The manufacturer shall prepare, or have prepared, a certificate confirming that the equipment is in conformity with the requirements of this standard along with its other applicable parts and additional standards mentioned in Clause 1. The certificate can relate to Ex equipment or an Ex Component.

An Ex Component certificate (Identified by the symbol “U” suffix to the certificate number) is prepared for parts of equipment that are incomplete and require further evaluation prior to incorporation in Ex equipment. The Ex Component certificate may include a Schedule of Limitations detailing specific additional evaluation required as part of incorporation into Ex equipment. An Ex Component certificate shall clarify that it is not an Ex equipment certificate.

Explosive atmospheres –  
Part 0: Equipment – General requirements

## 29.3 Marking – General Item d)

d) the name or mark of the certificate issuer and the certificate reference in the following form: the last two figures of the year of the certificate followed by a “.” followed by a unique four character reference for the certificate in that year;



## ISO/IEC 1700 Definitions

### 2.4

**third-party conformity assessment activity**

**conformity assessment activity that is performed by a person or body that is independent of the person or organization that provides the object, and of user interests in that object**

**NOTE 1 Criteria for the independence of conformity assessment bodies and accreditation bodies are provided in the International Standards and Guides applicable to their activities (see Bibliography).**

---

## IEC 60079-0 Definitions

### 3.8 certificate

**document that assures the conformity of a product, process, system, person, or organization with specified requirements**

**NOTE The certificate may be either the supplier's declaration of conformity or the purchaser's recognition of conformity or certification (as a result of action by a third party) as defined in ISO/IEC 17000**

# Long Standing Testing / Assessment Requirements for Ex Equipment

COMMISSION ÉLECTROTECHNIQUE INTERNATIONALE  
NORME DE LA CEI

INTERNATIONAL ELECTROTECHNICAL COMMISSION  
IEC STANDARD

Publication 79-0

Deuxième édition — Second edition

1983

---

**Matériel électrique pour atmosphères explosives gazeuses**

**Partie zéro: Règles générales**

---

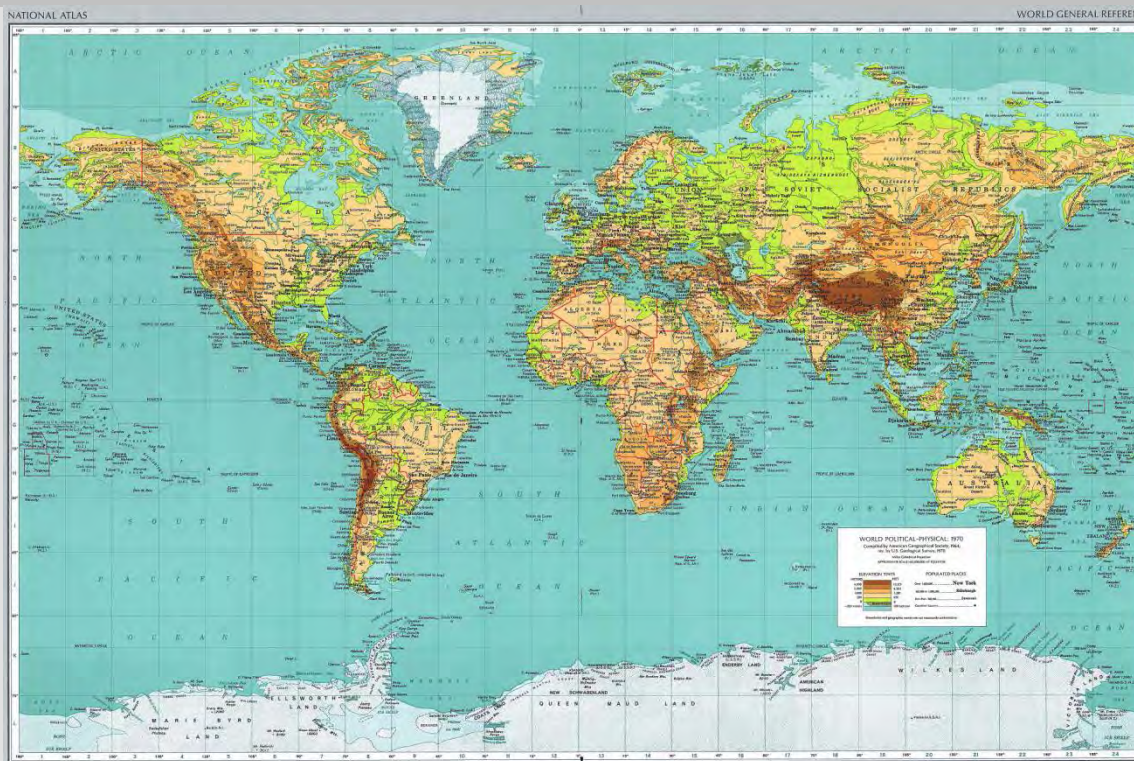
**Electrical apparatus for explosive gas atmospheres**

**Part 0: General requirements**

---



- **Verification of documents**
- **Compliance of Prototype with documents**
- **Marking Requirements**
- **Type Tests – include:**
  - **Mechanical Tests**
  - **Resistance to Impact**
  - **Thermal Tests**
  - **Insulation Resistance of plastic parts**
  - **Test in explosive mixtures**
  - **Test of clamping of cables**



**Manufacturers trade Globally**

**Re-testing/ Re-certification**

- Adds undue costs
- Results in delays to access markets
- Denies smaller countries access to new products/technology

## **IECEx Provides a Single Global Process System:-**

- Qualifying and Monitoring the operation of credible Certifiers + Labs
- Issuing of Test Reports and International Certificates that can either:
  - Be exchanged among participating Certifiers (ExCBs)
  - Be used both on a voluntary (purchasing requirements) or Regulatory basis.



# What is IECEx?

***“IECEX is the International Standard way of doing Ex Certification”***

The IEC's System with Schemes covering Certification to Standards that relate to Equipment, Services and Persons in areas relating to Explosive Atmospheres, to provide an Internationally accepted means of ***demonstrating claimed compliance with International Standards***

IECEX is a “**Conformity Assessment Tool**” providing confidence that Products, **Services and Personnel** covered by an IECEx Certificate meet specified requirements, (International Standards)

- **1992** – TC 31 Concept - IEC survey reveals agreement to develop a standalone International Ex scheme, WGEx formed to develop nucleus of a Scheme. **Convener - Nick Maalouf**
- **1994** – IECEE 04 Rules published / **1999** converted to IECEx 01
- **1996** – First meeting of Management Committee in London, appointment of Officers (Ian Cleare first IECEx Chairman)
- **1997** – 1999 Development of IECEx structure, including first round of peer assessments.
- **1999** – Scheme commences “Transitional” Operation - Issue Reports
- **2000** – First National Ex Certificate issued on basis of IECEx Test and Assessment Report
- **2002** – IECEx Management decision to move to FULL level and issuing of “IECEx Certificates of Conformity”
- **2003** – IECEx “On-Line” Certificate of Conformity System in operation – FIRST IECEx Certificate issued – 130 CoCs issued 1year
- **2007** – IECEx Services Scheme / Repair. Launched
- **2010** – New IECEx Certified Persons Scheme Launched
- **2011** – United Nations UNECE formally endorses IECEx.





# Schemes within the IECEx System

## IECEX System

[www.iecex.com](http://www.iecex.com)

**IECEX Equipment Scheme**  
Certification of Ex Equipment + Systems

**Choice between:**

IECEX “Certificate of Conformity”

IECEX “Component Certificate”

IECEX “Unit Verification” Cert.

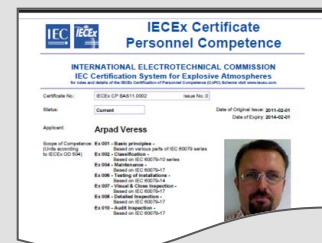
**IECEX Conformity Mark License Scheme**

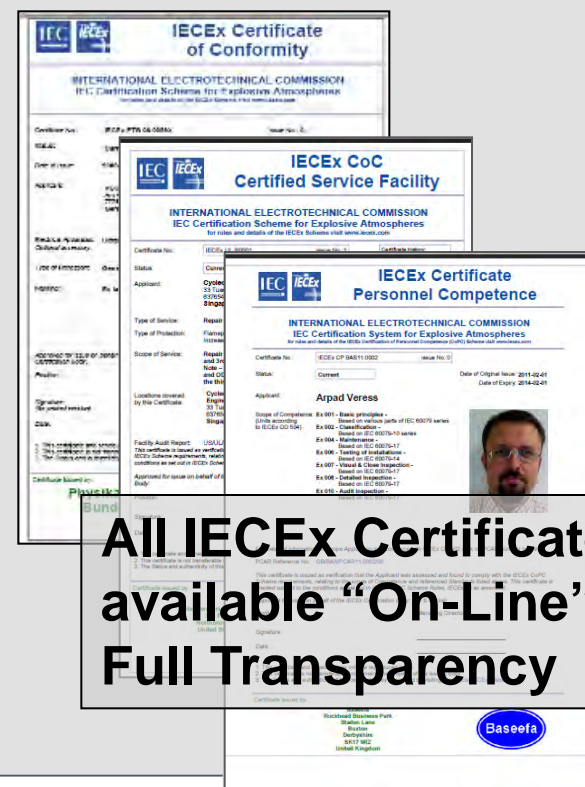


**IECEX Services Scheme**  
Certification of Ex Service Providers, eg Repair and overhaul workshops

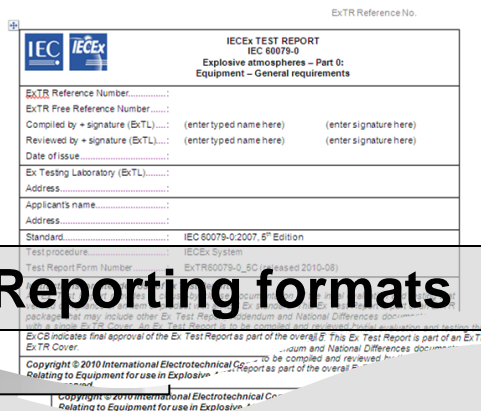


**IECEX Certified Persons Scheme (CoPC)**  
Competency to work in Ex field (New)





# All IECEx Certificates available “On-Line” – Full Transparency



# Standard Reporting formats





# IECEX Structure

## IEC CONFORMITY ASSESSMENT BOARD, CAB

Oversees IEC Conformity Assessment policy and Systems, eg IECEx

### IECEX Management Committee, ExMC National Members (Countries) Overall management of the IECEx System

Officers + Executive, TC 31 Chair, IEC Gen. Sec

ExMC Expert Working Groups (WGs)

IECEX Expert Assessors

### IECEX Secretariat

Technical Support

Administration

### Ex Office Members

IEC General Secretary

IEC TC 31 Chairman

### IECEX Technical Assessment Group Members: ExCBs + ExTLs

ExTAG Expert WGs

TC 31 + SC Liaison

### IECEX Marks Committee Operation of IECEx Mark

Appointed Members

IECEX Executive



### IECEX CoPC Committee Operation of Personnel Cert

Appointed Members

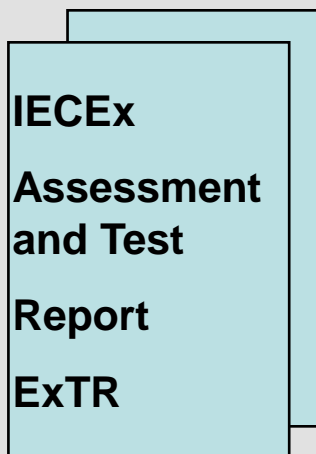
IECEX Executive



# IECEx Certification – Equipment Scheme

## - System 5 ISO/IEC Guide 67

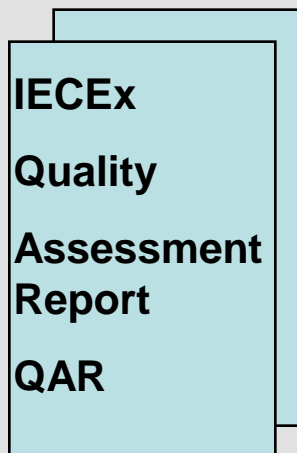
### Product Testing



Testing of Samples  
(Type Testing)

International Standards

### Factory Audit + Ongoing surveillance



Assessment of Manufacturers  
Quality System

ISO/IEC 80079-34

In line with EN 13980  
and Based on ISO 9001

### IECEx Certification

**IECEx Certificate of Conformity**

INTERNATIONAL ELECTROTECHNICAL COMMISSION  
IEC Certification Scheme for Explosive Atmospheres  
For rules and details of the IECEx Scheme visit [www.iecex.com](http://www.iecex.com)

Certificate No.	IECEx BAS 04 8007X	Issue No.	0
Status	Current		
Date of Issue	2005-01-19	Page 1 of 4	
Applicant	Baseefa Limited Jawa Road Cromford East Sussex TN11 3JH United Kingdom		
Electrical Apparatus	Ransley 2225 and 2222 Series Chopper Transmitter		
Optional accessory			
Type of Protection	Intrinsic Safety, Flameproof, Dust Ingress Protection		
Marking	IECEx BAS 04 8007X Ex Iat IIC T4 (20°C ≤ Ta ≤ +50°C) DIP A21 Ta 50°C Ex Iat IIC T3 (10°C ≤ Ta ≤ +50°C) DIP A21 Ta 50°C Ex Iat IIC T2 (10°C ≤ Ta ≤ +50°C) DIP A21 Ta 50°C		
Approved by name on behalf of the IECEx Certification body	R S Dimes		
Position	Managing Director		
Signature (for printed version)			
Date			

1. This certificate and its details may only be reproduced in full.  
2. This certificate is not to be altered and remains the property of the issuing body.  
3. The holder who authorizes this certificate may be re-evaluated using the IECEx (ICCB) system.

Certificate issued by:  
**Baseefa (2001) Ltd.**  
Health & Safety Laboratory Unit  
Buckton  
Derbyshire  
S61 1JH  
United Kingdom

Live “On-Line”  
System

In-built controls

Consistent format

Search capabilities

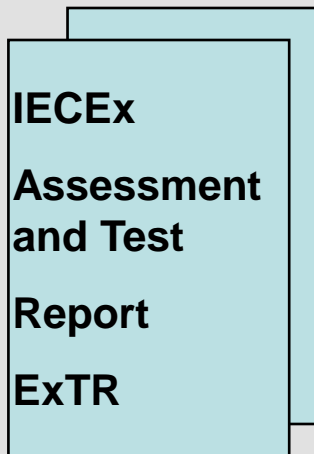
Covers all  
production units



# IECEx Certification – Unit Verification

Each Unit must be tested / assessed by the IECEx Body And identified on the Certificate, by serial No.

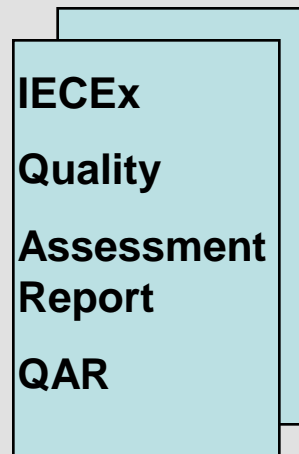
## *Product Test/Asses.*



Testing of  
Samples  
(Type Testing)

IEC/TC 31  
International  
Standards

## *Factory Audit + Ongoing surveillance*



Assessment of  
Manufacturers  
Quality System

ISO/IEC 80079-34 In  
line with EN 13980  
and Based on  
ISO 9001



**IECEx Certificate of Conformity**

INTERNATIONAL ELECTROTECHNICAL COMMISSION  
IEC Certification Scheme for Explosive Atmospheres  
for rules and details of the IECEx Scheme visit [www.iecex.com](http://www.iecex.com)  
**UNIT VERIFICATION**

Certificate No.: IECEx TUN 12.0012X Issue No. 0 Certificate history: [View](#)

Status: **Current**

Date of Issue: 2012-06-29 Page 1 of 3

Applicant: ABB S.P.A.  
Viale Dell'Industria 18  
20010 Vittuone (MI)  
Italy

Electrical Apparatus: 3Phase Induction Electrical Motor AMB 1000 S16 ABS/TPYM  
Serial number(s) or Unique Identification: 8110002027.00

Type of Protection: Pressurized enclosure pz, intrinsic safety ic

Marking: Ex ic pz IIB T3 Gc

Approved for issue on behalf of the IECEx Certification Body: Karl-Heinz Schwed

Position: Head of the IECEx CB

Signature: (for printed version) \_\_\_\_\_  
Date: \_\_\_\_\_

1. This certificate and schedule may only be reproduced in full.  
2. This certificate is not transferable and remains the property of the issuing body.  
3. The Status and authenticity of this certificate may be verified by visiting the Official IECEx Website.

Certificate issued by: TÜV NORD CERT GmbH  
Hanover Office  
am TÜV 1  
30513 Hannover  
Germany

**TÜV NORD**

Live “On-Line”  
System  
In-built controls  
Consistent format  
Search capabilities  
Covers only  
Units identified





# IECEX Certificate, Meaning

## Question:

What does an ExCB Certify when issuing an IECEx CoC?

## Answer:

The manufacturer's capability to consistently produce the product/equipment, listed on the certificate to be in compliance with the standards also listed on the Certificate.

### IECEX 02: 2010 Clause 5.1 Extract:

“The certificate will attest that the equipment’s design conforms to the relevant IEC or ISO standards and that the manufacturer is manufacturing the product under a quality system and associated quality plan(s), meeting the requirements of this Scheme and under the surveillance of an ExCB”.

**Same Philosophy across all IECEx Schemes, Certified Services Scheme + Certified Persons Scheme –  
“INDEPENDENT VERIFICATION of CLAIMED COMPLIANCE.”**





# **Benefits of Conducting Conformity Assessment thru IEC and IECEX**

- **IEC Brand**
  - **Global recognition - industry**
  - **International recognition eg WTO + UN recognised**
  - **IEC Standards used Nationally**
- **Open and Transparent Process**
  - **Clear Rules**
  - **Transparency in process and results**
- **Industry have a say and provide direct input.**
- **Consistency in CA processes among participating Certification Bodies.**

## Key Demand Drivers for IECEx

- Removal of costly duplication of testing/Cert at local level + delays to accessing markets
- **Confidence** among Industry, Regulators + Stakeholders – Ex equipment + Systems, high capital cost
- **Confidence** among Bodies (Labs + Certifiers), level playing field for CBs and TLs to compete
- Portability of assessment results + certs
- Ex Services + Personnel Cert now covered
- Instant access to information, including “On-Line” Certificates – instant checking.



## IECEX Key Features

- **ALL** Test Reports, Assessment and Audit Registered on IECEX Website (*All IECEX Schemes*)
- Certificates issued by **ALL** ExCBs located in the one website place (*for All IECEX Schemes*)
- Electronic Certificates are the Master Version
- On-Line Certificate System with safeguards:
  - System generates Report and Certificates numbers
  - ExCBs only issues Certificates within approved scope
  - Others, eg ExCBs cannot delete certificates etc
  - Dedicated Technical Secretariat with CEO Duties to deal with day to day running of IECEX

- **ALL** IECEX Test Labs (ExTLs) and Ex Certification Bodies (ExCBs) undergo same assessment
  - *Initial*
  - *Annual*
  - *Reassessment*
  - *On-going monitoring*
- **Common Procedures by all ExCBs+ExTLs**
- **IECEX Proficiency Testing Programme by PTB**
- **IECEX have an Appeals Process**
- **Central Dedicated Secretariat + Active Executive**
- **Self funding + Report to IEC CAB**



## IECEX On-Line CoC System key Features

- Web site version is the MASTER
- Certificates issued by ALL ExCBs in the one place – ***if not on IECEx website – then not issued !***
- Data Backed up by IEC Central Office, Geneva
- Certificate / Report Number automatically generated by system, *all Cert. Nos begin with “IECEX....”*
- Certificates + reports deleted only by Secretariat
- Editing only allowed during first 14 days after issue of the Certificate
- ExCBs only issue CoCs within their scope
- Supported by technical Secretariat.



# IECEX On-Line Certificate System

## Certificate of Conformity: IECEx ITS 07.0008X - Microsoft Internet Explorer

File Edit View Favorites Tools Help



Address <http://iecex.iec.ch/iecexweb.nsf/ae7eea0d12561594c1256d0200448859/607c74650e91a0e2c1257928002f3a9c?OpenDocument>

[IECEX CoC Home](#) > [Certified Equipment Scheme](#) > [Certificate of Conformity](#)

**important information !**

**COC  
ONLINE**



## IECEX Certificate of Conformity

### INTERNATIONAL ELECTROTECHNICAL COMMISSION IEC Certification Scheme for Explosive Atmospheres

for rules and details of the IECEx Scheme visit [www.iecex.com](http://www.iecex.com)

Certificate No.: **IECEX ITS 07.0008X** issue No.: **2**

Status: **Current**

Date of Issue: **2011-12-21** Page 1 of 5

Applicant: **Joy Mining Machinery**  
Thorn Hill Industrial Park  
177 Thorn Hill Road  
Varrendale  
PA 15086  
**United States of America**

Electrical Apparatus: **Controller Enclosure 7LS1A / Type 5000033161**  
Optional accessory:

Certificate history:  
Issue No. 2 (2011-12-21)  
Issue No. 1 (2010-6-9)  
Issue No. 0 (2007-3-26)



→ [iecex.iec.ch](http://iecex.iec.ch):

[Home / Search](#)

[Certified Service Facilities](#)

[Conformity Mark Licenses](#)

[Certified Persons](#)

→ View by:

["All ExCBs" Certificates](#)

[Certificate ref. number](#)

[IECEX Cert. Body \(ExCB\)](#)

[Applicant](#)

[Applicant Location](#)

[Manufacturer location](#)

[Country/Standards/ExCBs](#)

[Standard used](#)

[ExTRs](#)

[QARs](#)

→ Services:

[Export to MS Excel](#)

[Operational Manual](#)



QAR Reference Number \*: GB/SIR/QAR07.0007/02  
(automatic numbering)

Related QARs: [GB/SIR/QAR07.0007/00](#)  
[GB/SIR/QAR07.0007/01](#)

Status\*: Issued

QAR Free Reference Number\*: GB/SIR/QAR07.0007/00

Audit Date\*: 2011-06-27  
(yyyy-mm-dd)

Date of Issue\*: 2007-02-05  
(yyyy-mm-dd)

Details of change\*: Surveillance Audit

Valid until\*: 2012-08-01  
(yyyy-mm-dd)

Site(s) audited\*: Joy Mining Machinery  
Thorn Hill Industrial Park  
177 Thorn Hill Road  
Warrendale  
PA 15086  
USA

Issuing ExCB\*: SIR - SIRA Certification Service

Manufacturer\*: Joy Mining Machinery  
Thorn Hill Industrial Park  
177 Thorn Hill Road  
Warrendale  
PA 15086  
USA

Country of Manufacture\*: United States of America

Product information\*: see attachment

Protection concept\*: see below comment section

Related IECEx Certificates:  
(automatic linking)  
[IECEx SIR 10.0134X issue: 0 \[Current\]](#)  
[IECEx SIR 11.0077 issue: 0 \[Current\]](#)  
[IECEx ITA 08.0011X issue: 2 \[Current\]](#)  
[IECEx SIR 11.0114 issue: 0 \[Current\]](#)  
[IECEx ITA 11.0021X issue: 0 \[Current\]](#)

Document

important

and

than those

ed in

http://iecex.iec.ch

IECEx Technical Report  
Fields marked with \*

ExTR:

ExTR Reference Number  
(automatic numbering)

Status\*

ExTR Free Reference  
Number\*

Date of Issue\*

(yyyy-mm-dd)

Details of change\*

List of Standards Covered

Issuing ExTL\*

Endorsing ExCB\*

Manufacturer\*

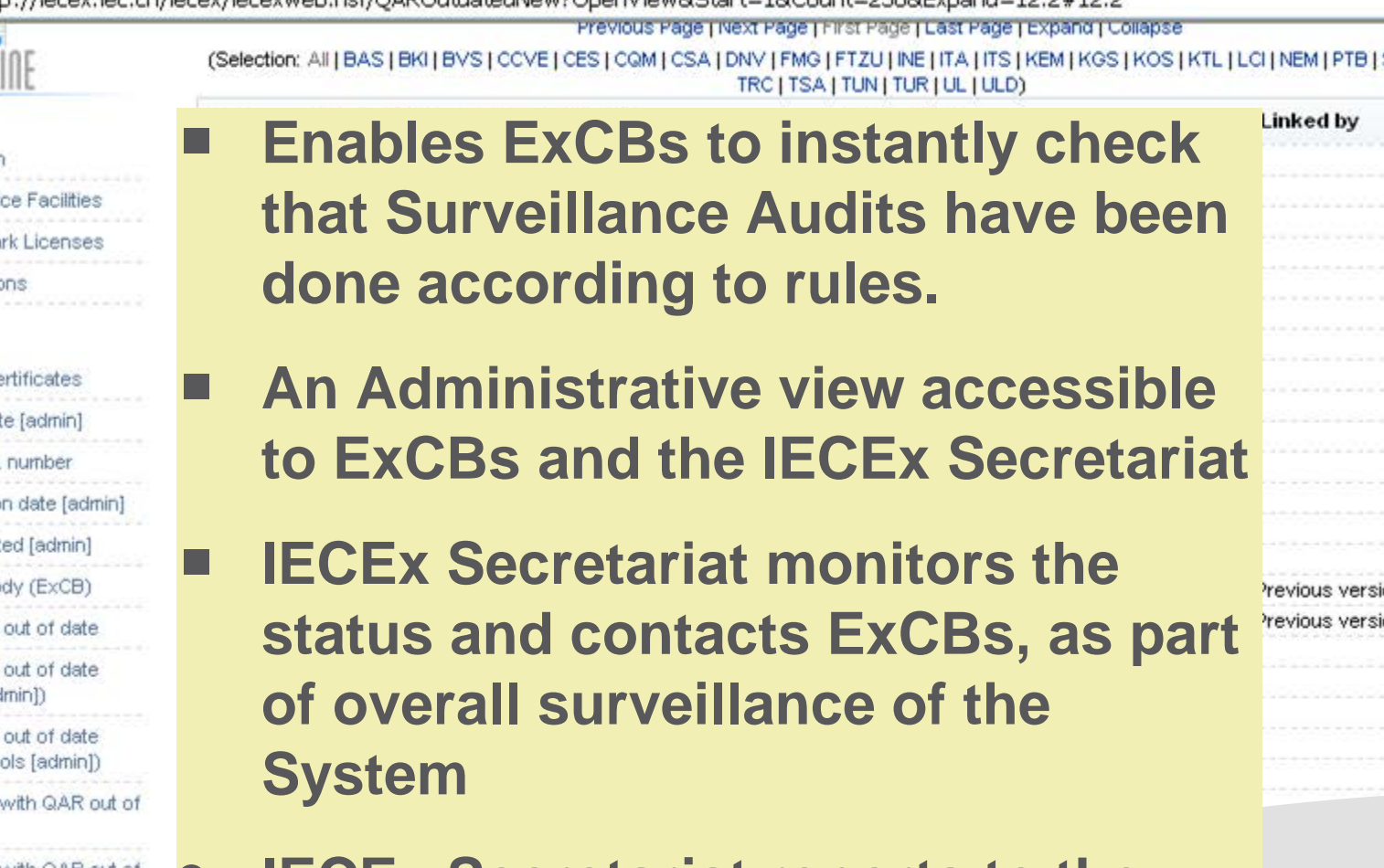
Country of Manufacture\*

Ex Protection\*

Rating:

Internet

# IECEx On-Line Certificate System – New “QAR out of Date Search” Feature



The screenshot shows the IECEx website interface. At the top, there is a navigation bar with links like 'Home / Search', 'Certified Service Facilities', 'Conformity Mark Licenses', and 'Certified Persons'. Below this, there is a section titled 'View by:' with a list of filters. The main content area displays a table with columns for 'ExCBs, QARs out of date' and 'Applicant'. The table lists several entries, including 'ExCBs, QARs out of date (<1.1.2009 [admin])' and 'ExCBs, CoCs with QAR out of date'. The right side of the image shows a sidebar with a 'Linked by' section and a 'Previous version (/00)' link.

■ Enables ExCBs to instantly check that Surveillance Audits have been done according to rules.

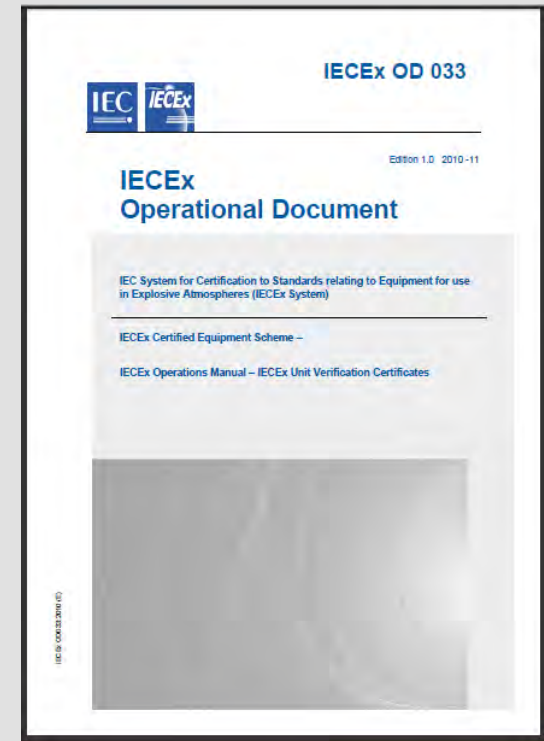
■ An Administrative view accessible to ExCBs and the IECEx Secretariat

■ IECEx Secretariat monitors the status and contacts ExCBs, as part of overall surveillance of the System

● IECEx Secretariat reports to the IECEx Executive

28

- IECEx OD 033 – Operational Procedure
- Covers specific Items identified on the IECEx CoC (eg serial number)
- Full and Compliant ExTR(s) required
- Does not require Factory Inspection/audits
- Examples being specialised “One-Offs” or limited quantities



# IECEx Unit Verification Certificate



**IECEx Certificate of Conformity**

**INTERNATIONAL ELECTROTECHNICAL COMMISSION**  
**IEC Certification Scheme for Explosive Atmospheres**  
 for rules and details of the IECEx Scheme visit [www.iecex.com](http://www.iecex.com)

**UNIT VERIFICATION**

Certificate No.: IECEx TUN 12.0012X issue No.: 0 Certificate history: \_\_\_\_\_

Status: Current

Date of Issue: 2012-06-29 Page 1 of 3

Applicant: **ABB S.P.A**  
 Viale Dell'Industria 18  
 20010 Vittuone (MI)  
 Italy  
 Italy

Electrical Apparatus: 3Phase Induction Electrical Motor AMI 1000 S18 ABSFTPYM  
 Serial number(s) or Unique Identification: 8110003027.03

Type of Protection: Pressurized enclosure pz, intrinsic safety ic

Marking: Ex ic pz IIB T3 Gc

Approved for issue on behalf of the IECEx Certification Body: Karl-Heinz Schw...

- Issued on the basis of Satisfactory ExTR
- Only covers items actually assessed/tested
- Not require Factory inspection nor ongoing surveillance
- Items traceable on certificate via serial numbers/etc
- Can cover more than 1 item but all serial Nos appear .

# -IECEX 03A Guide to Applicant Workshops [www.iecex.com](http://www.iecex.com)

[www.iecex.com](http://www.iecex.com)

Ongoing assessment to maintain certification







iecex.iec.ch:

[Home / Search](#)[Certified Equipment](#)[Conformity Mark Licenses](#)[Certified Persons](#)

View by:

["All ExCBs" Certificates](#)[Certificate ref. number](#)[IECEx Cert. Body \(ExCB\)](#)[Applicant](#)[Applicant Location](#)[FARs](#)

Services:

[Export to MS Excel](#)[Operational Manual](#)

## IECEx CoC Certified Service Facility

### INTERNATIONAL ELECTROTECHNICAL COMMISSION IEC Certification Scheme for Explosive Atmospheres

for rules and details of the IECEx Scheme visit [www.iecex.com](http://www.iecex.com)

Certificate No.:

IECEx BAS S0013

Issue No.: 1

Certificate history:

[Issue No. 1 \(2012-6-13\)](#)[Issue No. 0 \(2011-1-27\)](#)

Status:

Current

Date of Issue: 2012-06-13

Applicant:

ABB Pte Ltd

2 Tuas Lane

638611

Singapore

Type of Service:

Repair and Overhaul according to IEC 60079-19

Type of Protection:

Flameproof Enclosure "d"

Increased Safety "e"

Type of Protection "n"

Scope of Service:

On site Repair and Overhaul of Exd, Exe, Exde, Exn Rotating Machine and enclosures .  
Off site work limited to replacement of machine bearings.Locations covered  
by this Certificate:

as above





## IECEx Certified Service Facility

IEC System for Certification to Standards relating to Equipment for use in Explosive Atmospheres (IECEx System)

[IECEx CoC Home](#) > [Certified Service Facility Scheme](#) > [Listing](#)

View by Applicant Location : (2012)

[Previous Page](#) | [Next Page](#) | [First Page](#) | [Last Page](#) | [Expand](#) | [Collapse](#)

(Selection: All | **2012** | 2011 | 2010 | 2009 | 2008 | 2007)

→ iecex.iec.ch:

[Home / Search](#)

[Certified Equipment](#)

[Conformity Mark Licenses](#)

[Certified Persons](#)

→ View by:

["All ExCBs" Certificates](#)

[Certificate ref. number](#)

[IECEx Cert. Body \(ExCB\)](#)

[Applicant](#)

[Applicant Location](#)

[FAIRs](#)

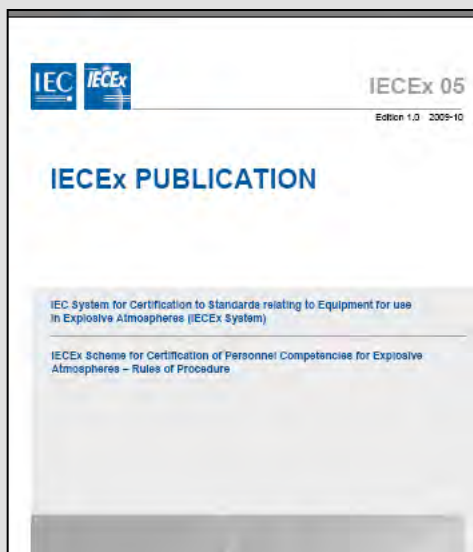
→ Services:

[Export to MS Excel](#)

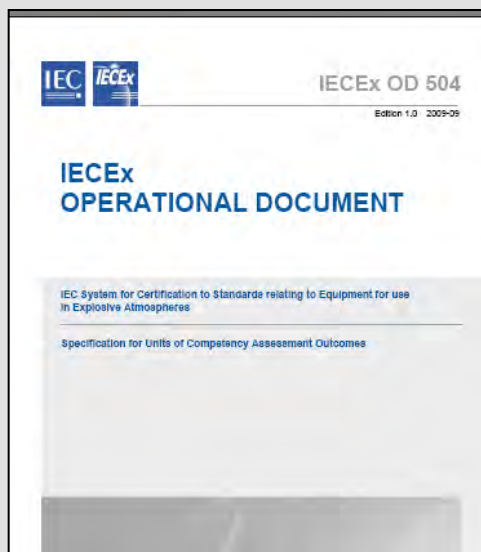
[Operational Manual](#)

	Country / Location	Name	Certificate No	Issue Date	Service Type
2	<input checked="" type="checkbox"/> Australia				
2	<input checked="" type="checkbox"/> Malaysia				
2	<input checked="" type="checkbox"/> Singapore				
1	<input checked="" type="checkbox"/> Switzerland				
4	<input checked="" type="checkbox"/> The Netherlands				
7	<input checked="" type="checkbox"/> United Kingdom				
1		<input checked="" type="checkbox"/> ERIKS Electron Mechanical Services			
1		<input checked="" type="checkbox"/> Foster Clark Engineering Limited			
1		<input checked="" type="checkbox"/> Quartzelec Limited			
3		<input checked="" type="checkbox"/> Sulzer Dowding & Mills			
1		<input checked="" type="checkbox"/> Sulzer Dowding & Mills Ltd			
18					

- Same IEC TC 31 Standards already used by IECEx apply, 60079-10, -19, -14, -17
- Certifies a Person's Competence to be able to apply the Standards
- Manufacturers now interested



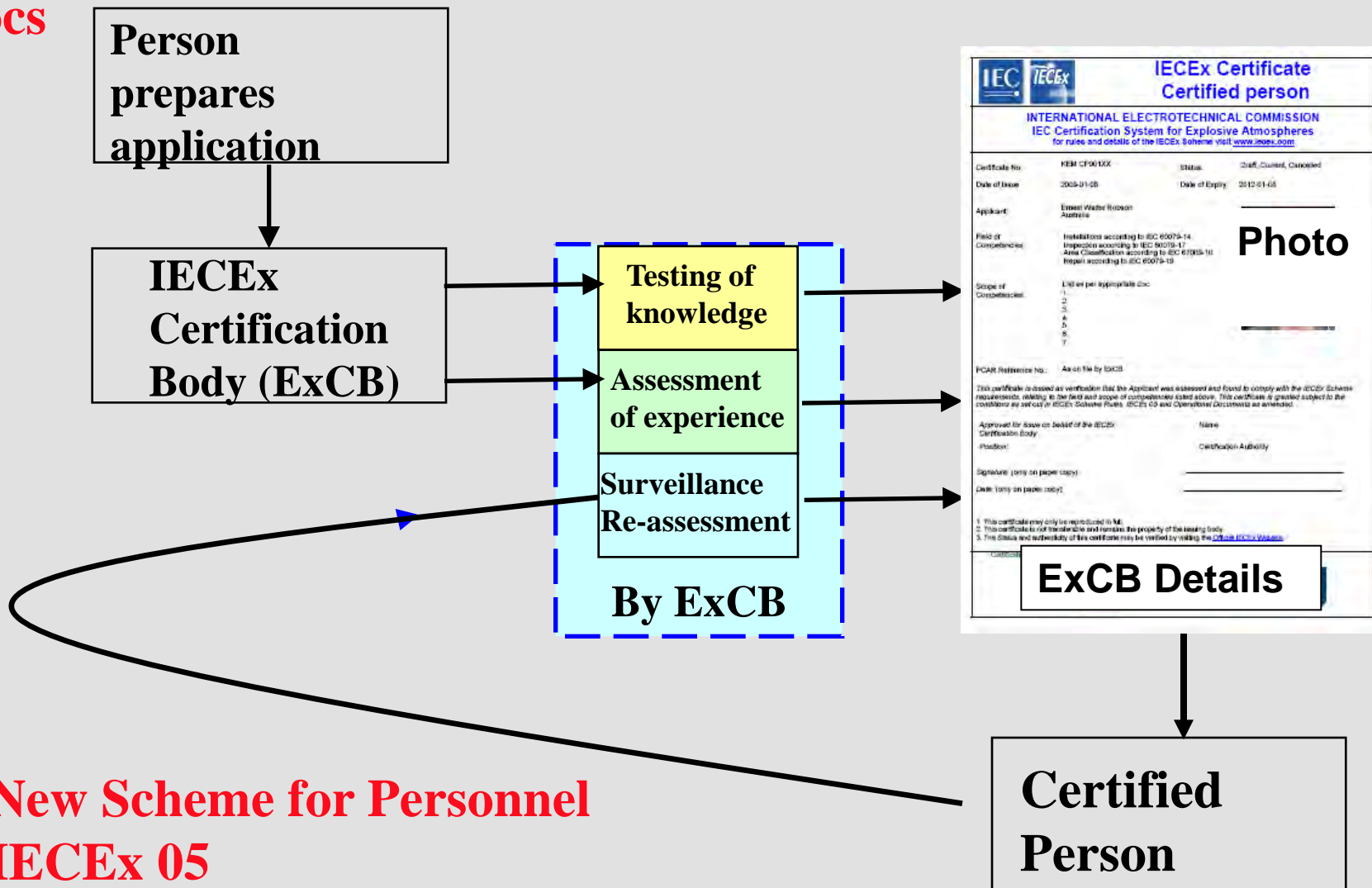
Scheme Rules



Operational Documents

<b>Unit Ex 001</b>	– Basic principles of protection in explosive atmospheres
<b>Unit Ex 002</b>	– Perform classification of hazardous areas
<b>Unit Ex 003</b>	– Install explosion-protected equipment and wiring systems
<b>Unit Ex 004</b>	– Maintain equipment in explosive atmospheres
<b>Unit Ex 005</b>	– Overhaul and repair of explosion-protected equipment
<b>Unit Ex 006</b>	– Test electrical installations
<b>Unit Ex 007</b>	– Perform visual & close inspection
<b>Unit Ex 008</b>	– Perform detailed inspection
<b>Unit Ex 009</b>	– Design electrical installations
<b>Unit Ex 010</b>	– Perform audit inspection of installations

## According to ISO/IEC 17024 + IECEx Rules + Operational Docs





1. Select "Certificates & Licenses"

2. Select "View Certificate & Licenses"

**Latest News:**

- IEC Lord Kelvin Awards Presentation
- First IECEx Conformity Mark License to Vaisala Ovi of Finland
- NEM IECEx Symposium programme
- Industry Symposium Melbourne

3. Select Type of IECEx Scheme:

- Certified Equipment, or
- Certified Service Provider
- Conformity Mark License, or
- Certified Persons

4. Refer to IECEx OD 011 Part 1 for search and display options

4. Refer to IECEx OD 011 Part 1 for search and display options

5. Master Controlled Version of Certificate now displayed

5. Master Controlled Version of Certificate now displayed

**IECEx Certificate of Conformity**

INTERNATIONAL ELECTROTECHNICAL COMMISSION  
IEC Certification Scheme for Explosive Atmospheres  
for rules and details of the IECEx Scheme visit [www.iecex.com](http://www.iecex.com)

Certificate No.: IECEx UL 09.0024X Issue No. 0 Certificate history:

Status: Current

Date of Issue: 2009-07-31 Page 1 of 3

Applicant: Adaleit Scott Fetzer Company  
4801 W. 150th Street  
Cleveland, OH 44135  
United States of America

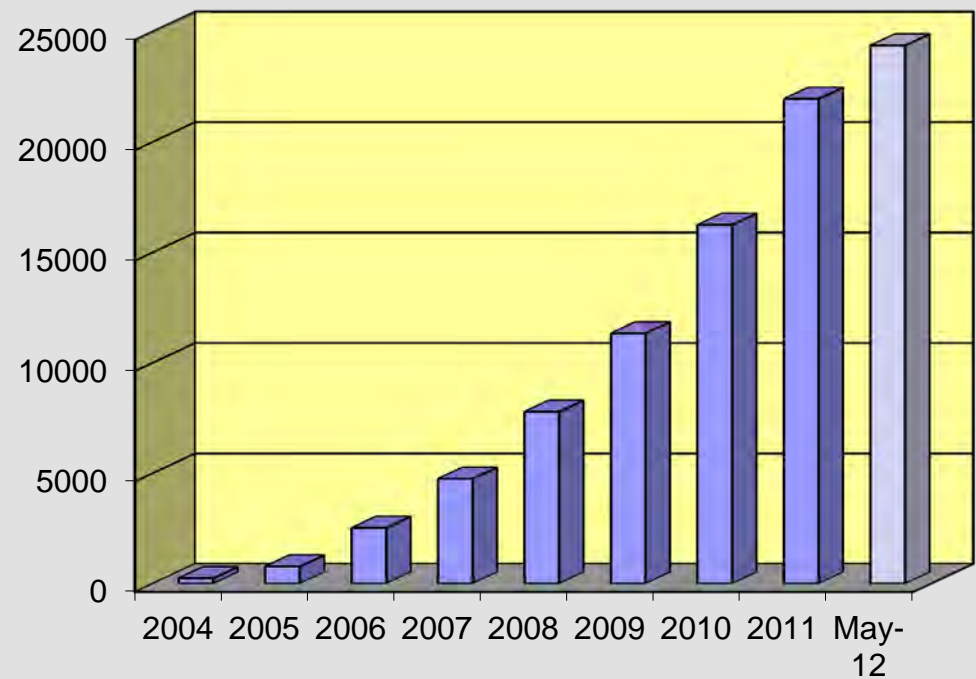
Electrical Apparatus: Control Panel Enclosures  
Optional accessory:

Time of Production: Increased Safety Mark and Brand 100%

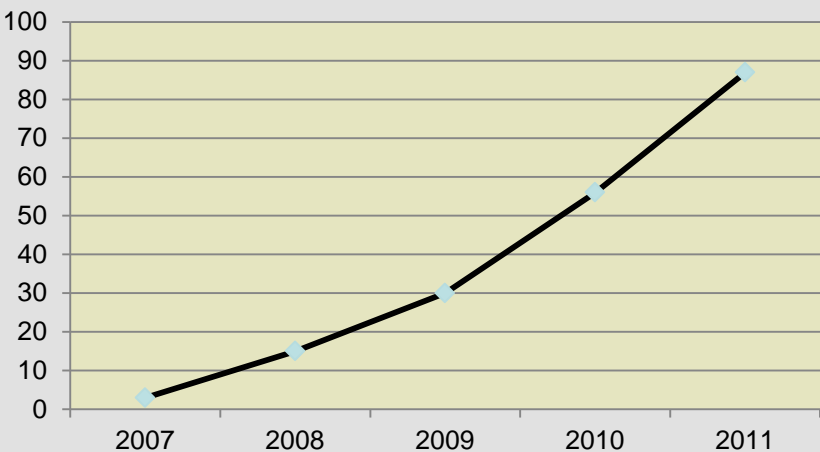


# Some IECEx Statistics

Total CoCs & Reports  
IECEx Equipment Scheme



IECEx Service  
Facility Certificates



## IECEx Certified Persons Certs.

2010 (commenced Oct)	6
2011	64
<u>2012 (@ July)</u>	<u>51</u>
Total	121



# IECEX Foundation – it's *DNA*

- Being part of IEC: Statutes + Rules
- Industry Based Management Committee
- One single set of Rules and Operational Procedures for TLs + CBs
- ALL TLs and CBs undergo the same qualification process to join, use of peer assessment.
- Common Test Report and Certificate Format used by ALL TLs and CBs but always maintaining CB Brand
- Use of Internet “On-Line” Systems for Certificates. [Equipment](#), [Services](#), [Personnel](#)
- Technical Secretariat - Day to Day Management with CEO function





# What's Next in IECEx??

- Coverage of Non-Electrical Standards, re IEC SC 31M
- Coverage of other Services within the IECEx Certified Services Scheme
  - Installation
  - Inspection
  - Area Classification
- Maintain Surveillance and Monitoring of the System + ExCBs
- Promotion of IECEx and those Companies, Organisations and Persons that hold IECEx Certification
- Upgraded and enhanced Features on the IECEx Website
- New Smart Phone and Mobile Device Application
  - Streamlined download capabilities to check status of Issued IECEx Certificates
  - An “Off-Line” mode for checking in the field
  - Phase 1 to be launched for IECEx 2012 Calgary



# United Nations, via its UNECE Endorses IECEx – WHY?

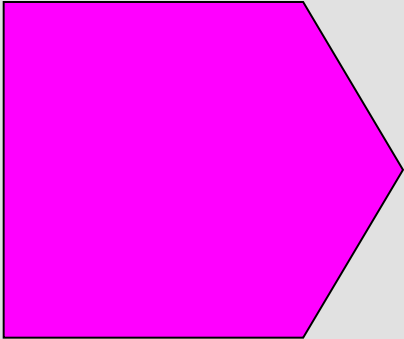




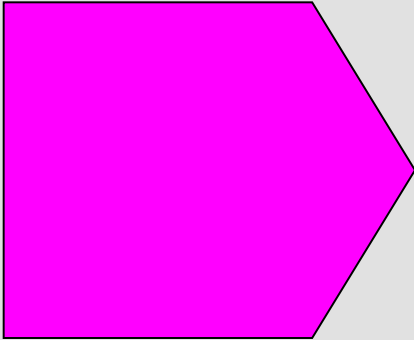
# *REGULATORY DIALOGUE AT THE UNITED NATIONS*



*To promote trade as a means of development*



*To protect the safety of workers and consumers & preserve the natural environment*



*To foster the international transfer of knowledge & technology*



# The United Nations System

## UN Principal Organs

General Assembly

Security Council

Economic and Social Council

Secretariat

International Court of Justice

Trusteeship Council<sup>5</sup>

### Subsidiary Bodies

Main and other sessional committees  
Disarmament Commission  
Human Rights Council  
International Law Commission  
Standing committees and ad hoc bodies

### Subsidiary Bodies

Counter-terrorism committees  
International Criminal Tribunal for Rwanda (ICTR)  
International Criminal Tribunal for the former Yugoslavia (ICTY)

### Programmes and Funds

**UNCTAD** United Nations Conference on Trade and Development

• **ITC** International Trade Centre (UNCTAD/WTO)

**UNDP** United Nations Development Programme

• **UNCDF** United Nations Capital Development Fund

• **UNV** United Nations Volunteers

**UNEP** United Nations Environment Programme

**UNFPA** United Nations Population Fund

**UN-HABITAT** United Nations Human Settlements Programme

**UNHCR** Office of the United Nations High Commissioner for Refugees

**UNICEF** United Nations Children's Fund

**UNODC** United Nations Office on Drugs and Crime

**UNRWA**<sup>1</sup> United Nations Relief and Works Agency for Palestine Refugees in the Near East

**UN-Women** United Nations Entity for Gender Equality and the Empowerment of Women

**WFP** World Food Programme

### Research and Training Institutes

**UNICRI** United Nations Interregional Crime and Justice Research Institute

**UNIDIR**<sup>1</sup> United Nations Institute for Disarmament Research

**UNITAR** United Nations Institute for Training and Research

**UNRISD** United Nations Research Institute for Social Development

**UNSSC** United Nations System Staff College

**UNU** United Nations University

### Other Entities

**UNAIDS** Joint United Nations Programme on HIV/AIDS

**UNISDR** United Nations International Strategy for Disaster Reduction

**UNOPS** United Nations Office for Project Services

### Related Organizations

**CTBTO PrepCom** Preparatory Commission for the Comprehensive Nuclear-Test-Ban Treaty Organization

**IAEA**<sup>2</sup> International Atomic Energy Agency

**OPCW** Organisation for the Prohibition of Chemical Weapons

**WTO**<sup>3</sup> World Trade Organization

### Advisory Subsidiary Body

UN Peacebuilding Commission

### Specialized Agencies<sup>4</sup>

**ILO** International Labour Organization

**FAO** Food and Agriculture Organization of the United Nations

**UNESCO** United Nations Educational, Scientific and Cultural Organization

**WHO** World Health Organization

#### World Bank Group

• **IBRD** International Bank for Reconstruction and Development

• **IDA** International Development Association

• **IFC** International Finance Corporation

• **MIGA** Multilateral Investment Guarantee Agency

• **ICSID** International Centre for Settlement of Investment Disputes

**IMF** International Monetary Fund

**ICAO** International Civil Aviation Organization

**IMO** International Maritime Organization

**ITU** International Telecommunication Union

**UPU** Universal Postal Union

**WMO** World Meteorological Organization

**WIPO** World Intellectual Property Organization

**IFAD** International Fund for Agricultural Development

**UNIDO** United Nations Industrial Development Organization

**UNWTO** World Tourism Organization

### Functional Commissions

Crime Prevention and Criminal Justice

Narcotic Drugs

Population and Development

Science and Technology for Development

Social Development

Statistics

Status of Women

Sustainable Development

United Nations Forum on Forests

### Regional Commissions

**ECA** Economic Commission for Africa

**ECE** Economic Commission for Europe

**CELASCO** Economic Commission for Latin America and the Caribbean

**ESCAP** Economic and Social Commission for Asia and the Pacific

**ESCWA** Economic and Social Commission for Western Asia

### Other Bodies

Committee for Development Policy

Committee of Experts on Public Administration

Committee on Non-Governmental Organizations

Permanent Forum on Indigenous Issues

United Nations Group of Experts on Geographical Names

Other sessional and standing committees and expert, ad hoc and related bodies

### Departments and Offices

**EOSG** Executive Office of the Secretary-General

**DESA** Department of Economic and Social Affairs

**DFS** Department of Field Support

**DGACM** Department for General Assembly and Conference Management

**DM** Department of Management

**DPA** Department of Political Affairs

**DPI** Department of Public Information

**DPKO** Department of Peacekeeping Operations

**DSS** Department of Safety and Security

**OCHA** Office for the Coordination of Humanitarian Affairs

**OHCHR** Office of the United Nations High Commissioner for Human Rights

**OIOS** Office of Internal Oversight Services

**OLA** Office of Legal Affairs

**OSAA** Office of the Special Adviser on Africa

**OSRSG/CAAC** Office of the Special Representative of the Secretary-General for Children and Armed Conflict

**ONODA** Office for Disarmament Affairs

**UNOG** United Nations Office at Geneva

**UN-OHRLLS** Office of the High Representative for the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States

**UNON** United Nations Office at Nairobi

**UNOV** United Nations Office at Vienna

## NOTES:

<sup>1</sup> UNRWA and UNIDIR report only to the General Assembly.

<sup>2</sup> IAEA reports to the Security Council and the General Assembly.

<sup>3</sup> WTO has no reporting obligation to the General Assembly (GA), but contributes on an ad-hoc basis to GA and ECOSOC work, as well as on finance and developmental issues.

<sup>4</sup> Specialized agencies are autonomous organizations working with the UN and each other through the coordinating machinery of ECOSOC at the intergovernmental level, and through the Global Executive Board for Coordination (GECB) at the inter-secretariat level. This section is listed in order of establishment of these organizations as specialized agencies of the United Nations.

<sup>5</sup> The Trusteeship Council suspended operations on 1 November 1994 with the independence of Palau, the last remaining United Nations Trust Territory, on 1 October 1994.

This is not an official document of the United Nations, nor is it intended to be all-inclusive.





# UN- Economic Commission for Europe:-

## What UNECE is:

- Intergovernmental body
- Participation by: authorities, regional & int'l organizations, business, standards-setting bodies, certification bodies, test houses, civil society
- From all UN Member States
- 1970 – 2010: 40 years

## UNECE mandate:

- Forum for dialogue on: ☒ Standardization ☒ Technical regulations ☒ Conformity assessment ☒ Accreditation ☒ Metrology ☒ Market surveillance

## UNECE activities

- Share info & best practice / Capacity-building
- Develop and maintain a set of recommendations
- Implement a set of initiatives on specific industrial sectors

# Regulatory Cooperation

- All UN member states may participate, 193
- National regional and international standardization organizations
- UNECE Has observer's status at the meetings of the WTO TBT committee
- Other UN organizations
- International Model for Technical Harmonization - UNECE Recommendation “L” (Development of Common Regulatory Objectives)
- Sectoral Projects
  - Telecoms Equipment
  - Earth Moving Equipment
  - Equipment for Explosive Environments
  - Pipe line Safety





# UNECE Sectoral Initiative -Equipment for Explosive Environments

- Industry makes use of IECEx Schemes
- But regulators do not use them in a consistent way
- WP. 6 offers a platform to interact among all stakeholders
- initiative has led to the development and publication of a common regulatory objectives framework using the Regulatory Model L.



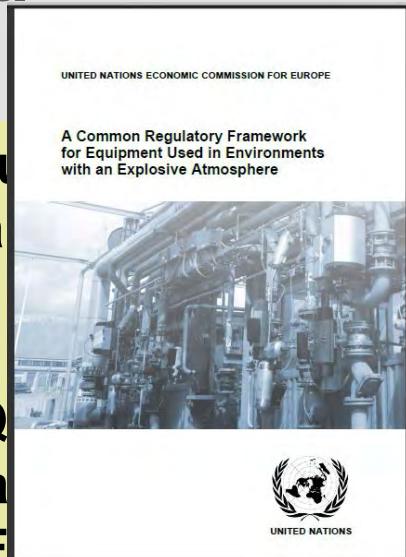
## Why is the UN involved in Ex?

- The UN aims at protecting the safety of workers, citizens, consumers
- Repeated testing means that safe and reliable equipment will become unaffordable for some of the countries that need it the most
- The UN promotes the participation of developing countries and countries in transition in international trade
- Barriers to trade are lost opportunities for our membership
- An original initiative from Germany.



# The model use of UN CROs:

- Authorities decide to work together on a specific sector
- They agree on CROs (Common Regulatory Objective)
- They transpose the CROs into national technical regulations
- Then, when products have been assessed in the exporting country as compliant with the CROs they can automatically be sold in other markets with no further assessment.



**UNECE CRO**  
**Serves as basis for**

**National or  
Regional  
Regulation / Law**

**Results in  
Harminisation**

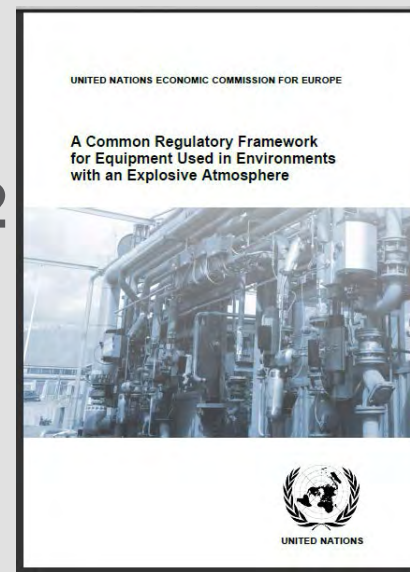
**-Removes wasteful  
Approvals – usual  
- Enables smaller  
latest technology  
- Prevents Poor Q  
- Harmonisation a  
Development of E**

**only  
ess to**

**”**

# Published UNECE CRO – History (Common Regulatory Objective)

- Started work in 2007
- Developed a questionnaire about regulatory in Ex on major markets
- Collected replies from Australia, Brazil, the European Union, the Russian Federation, United States
- On the basis of the replies developed CROs
- CROs approved by the Working Party in November 2010, published in March 2011
- Promotion and Awareness of these CROs
- Major Gulf Conference in partnership with IECEx + UAE Standards Authority, March 2012
- UAE now revising existing Regulations
- Other awareness events under planning
- Next UNECE WP6 Ex Mtg Calgary Sept 2012.



# United Nations Endorsement of IECEx

**New United Nations  
Publication, March 2011  
endorsing IEC TC 31  
Standards + IECEx as  
“world’s best practice”.**



 **UNECE** United Nations Economic Commission for Europe

Home About UNECE Programmes Information Meetings Contact Us

Press Release

[\[Index\]](#)

**Helping smaller countries protect their populations from danger in explosive environments**  
New UNECE publication offers regulatory framework

Geneva, 22 March 2011 --

The United Nations Economic Commission for Europe (UNECE) has just issued a publication that helps address the hazards in environments with a high risk of explosion such as mines, refineries, chemical plants and mills.

The booklet “Common Regulatory Framework for Equipment Used in Environments with an Explosive Atmosphere” can be used by countries that lack regulation in this sector as a blueprint for their legislation, and also for aligning existing national regulations with internationally harmonized best practice.

“Ex-equipment” for use in such hazardous environments needs to be specially designed, installed, maintained and repaired to eliminate potential sparks and open flames. This heterogeneous sector plays an important part in many areas of economic activity, and represents an important component of international trade.

This equipment therefore undergoes severe testing and certification, which is very costly. Because differing legislation often does not allow countries to accept the testing and certification done in another country, manufacturers generally must have devices re-tested and re-certified whenever they want to enter a new market. For some companies, this



A photograph of a desert landscape with a warning sign that reads "DANGER" and "Explosive Atmosphere". The sign also includes a symbol of a flame and a crossed-out match.

**Full Text**

[http://www.unece.org/press/pr2011/11trade\\_p03e.htm](http://www.unece.org/press/pr2011/11trade_p03e.htm)

UNITED NATIONS ECONOMIC COMMISSION FOR EUROPE

**A Common Regulatory Framework  
for Equipment Used in Environments  
with an Explosive Atmosphere**





# United Nations CRO Publication - Extract

essential health and safety requirements laid out in the regulation. Under this approach, equipment that complies with the provisions of the standards is “deemed to comply” with the requirements specified in the regulations.

## 2 Purpose of the Sectoral Initiative on Equipment Used in Environments with an Explosive Atmosphere

7. The purpose of the Sectoral Initiative on Equipment Used in Environments with an Explosive Atmosphere is to promote the convergence of national technical regulations currently in place in this sector towards a shared framework. This will reduce barriers to trade for this equipment, as well as costs. It will also increase the safety of the installations and the well-being of personnel working in the sector, as well as that of the communities living near the installations.

## 3 Scope statement of the Common Regulatory Objectives contained in this document

8. The Common Regulatory Objectives (CROs) presented in this document have been drawn up in accordance with Recommendation L of the Working Party on Regulatory Cooperation and Standardization Policies (WP. 6) of the United Nations Economic Commission for Europe (ECE/TRADE/378 – UNECE Recommendations on Standardization Policies).
9. The purpose of the CROs is twofold. On the one hand, they can be used as a model to draw up legislative instruments in countries that do not currently have regulations in this sector. On the other hand, they can be used to align existing national regulation with an internationally harmonized best practice.



10. The CROs are drawn up with reference to international standards and conformity assessment procedures developed by IEC and ISO and to best practice in the assessment of conformity to such standards, within the IECEx.
11. The CROs address the requirements both for electrical and mechanical equipment being placed on the market (part one of the present document) and for the safe installation and use of the equipment in the workplace (part two of the present document).
12. Explosion protection in industry can be assured through a variety of legitimate means. The present document is based on one of them, namely, the "IEC Zone Concept, in available in English. On request of the customer of the equipment, the manufacturer must provide a translation into a national language.

## **B. Definition of applicable conformity assessment procedures**

22. Compliance with this CROs shall be by use of an international certification scheme such as the IECEx for direct market acceptance of products carrying IECEx Certification. Alternatively, where national legislation does not allow for use of IECEx Certificates, national certification of compliance should be based on IECEx testing and assessments.

## **Common Regulatory Objectives – Part two**

### **Requirements for the safe use of the equipment**

23. All substances intended for use in a plant or facility characterized by an explosive atmosphere have to be classified concerning their safety characteristics by applying the



## **In Conclusion**

**While IECEx was formed to serve Industry needs, aimed at**

**Facilitation of International Trade in Ex Equipment and Services , Through the United Nations UNECE, IECEx is being seen as an ideal platform to achieve the United Nations Principles of:-**

- **Promotion of Trade as a means of Development among Countries**
- **Protect safety of Workers and Consumers and preserve Natural Environment**
- **Foster international Transfer of knowledge and technology**



## On-going IECEx Activities

- **IECEx Services Scheme to cover**
  - Installation Services
  - Inspection Services
  - Area Classification Services
- **Work on “Ex s” [Special Protection] due to new Standard under development within TC 31**
- **Preparation for coverage of “Non Electrical” Standards – work underway within IEC SC 31M**
- **Determination of Life on Component Certificates**
- **An active IECEx Executive**
- **Dedicated Business Development WG**
- **25 Dedicated WGs across the IECEx System**
- **Promotion (eg IECEx/UN/ESMA [Dubai](#) Conference<sup>53</sup>**

[www.iecex.com](http://www.iecex.com)



International Electrotechnical Commission System for Certification to Standards Relating to Equipment for use in Explosive Atmospheres (IECEX System)

Information **Publications** Members' Area Certificates & Lic. Contact Home

IECEX Rules  
Bulletin  
Standards  
Guides  
Operational (OD)  
ExTAG Decisions  
Application Forms

Calgary 2012

IECEX International Conference Dubai 20-21 March

**Latest News:**

- [PCIC Middle East Conference 2012](#)
- [Calgary Industry Symposium Day](#)
- [ExTAG document: 'Significant changes between the ISO/IEC 80079-34 and OD 005-1'](#)

## IECEx Guides

To assist in a common understanding of the aims, objectives and procedures of the IECEx System, the IECEx Secretariat maintains various guidance documents.

The list below provides the official versions of these documents

<a href="#">IECEx 01A</a> <a href="#">An Informative Guide comparing various elements of both IECEx and ATEX</a>	Edition 1 August 2008
<a href="#">IECEx 01B</a> <a href="#">Guidance for the use of the IECEx Logo</a>	Edition 1 October 2011
<a href="#">IECEx 02A</a> <a href="#">Guidance for Applicants seeking IECEx Certification under the IECEx Certified Equipment Scheme. IECEx 02</a>	Edition 2 October 2011
<a href="#">IECEx 03A</a> <a href="#">Guidance for Applications from Service Facilities seeking IECEx Certification. IECEx 03</a>	Edition 1 August 2008
<a href="#">IECEx 04A -</a> <a href="#">Guidance for making applications for and use of IECEx Conformity Mark IECEx 04</a> (1.7MB)	Edition 1 July 2008
<a href="#">IECEx 05A</a> <a href="#">Guidance and Instructions for Applicants to obtain a Certificate of Personnel Competence (CoPC)</a>	Edition 1 July 2011



- Area Classification
- Select the right Ex Equipment
- Installation + Inspection
- Maintenance + On-going Inspection
- Repair + Overhaul

IECEx is a “Conformity Assessment Tool” providing confidence that Products, Services and Personnel covered by an IECEx Certificate meet specified requirements, (International Standards)



# IECEX – Further information

- The IECEx website [www.iecex.com](http://www.iecex.com)
- IECEx Brochure, + Guides <http://www.iecex.com/guides.htm>
- The IECEx Officers (Executive) <http://www.iecex.com/officers.htm>
- IECEx 2012 Annual Meetings <http://www.iecex.com/calgary/>
- Contact any of the listed IECEx Approved Certifiers
  - Equipment Scheme <http://www.iecex.com/directory/bodies/bodies1.asp?id=5>
  - Services Scheme <http://www.iecex.com/directory/bodies/bodies2.asp?id=5>
  - Personnel Scheme <http://www.iecex.com/directory/bodies/bodies4.asp?id=5>
- Presentations from the IECEx 2012 International IECEx Conference, Dubai (**Highly Recommended**) <http://www.iecex.com/dubai/index.html>
- The IECEx Secretariat (responsible for day to day operational management of the IECEx)

Mr Chris AGIUS

IECEX Executive Secretary

E-mail: [chris.agius@iecex.com](mailto:chris.agius@iecex.com) or [info@iecex.com](mailto:info@iecex.com)



**The End**

**THANK YOU**