



CAB/643A/DL

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2006-10

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB)

SUBJECT

List of decisions taken by the CAB at its 20th meeting held on 2006-09-26 in Berlin, corrected

BACKGROUND

Decision 3 was inadvertently left out of CAB/643/DL.

Decision 20/1 — *CAB Agenda*

The CAB approves the revised draft agenda presented in document CAB/ 618A/DA.

Decision 20/2 — *Affiliate Country Programme*

The CAB expresses its appreciation to Mr Carlos Rodriguez, Leader of the Affiliate Country Programme, for his comprehensive presentation on the activities of the ACP, notes the participation of the Schemes' Secretaries in the Affiliate Workshop and reaffirms its offer to provide assistance to members of the Affiliate Programme in conformity assessment matters.

Decision 20/3 — *CAB Membership*

The CAB notes that Dr Clif Johnston and Mr Jun Xie will be retiring from the CAB at the end of 2006 and expresses its appreciation to both members for their valuable contribution to the IEC, through their membership of the CAB and their involvement over the years in many IEC activities. The CAB applauds the enormous contribution Dr Johnston has made in numerous ways to the IEC in general as Vice President and to the CAB in particular as both Chairman and member.

Decision 20/4 — *Amendments to the IEC Statutes and Rules of Procedure*

The CAB recommends to the Council Board that amendments be made to the IEC Statutes and Rules of Procedure to state clearly the status of suspended Members and the rights of IEC Associate Members and non-IEC Member countries to seek membership and participate in Scheme activities. The proposed amendments are contained in Annex 1 to the Decision List of CAB Meeting No. 20 (document CAB/643/DL).

Decision 20/5 — *Scheme representation on the CAB*

Noting that it has been the practice for each of the Schemes to be represented on the CAB by the respective Chairman and Secretary (without vote), the CAB recommends to the Council Board that this arrangement be formalized in the IEC Statutes.

Decision 20/6 — *Normative documents used by the Schemes*

The CAB notes that the normative documents currently used by the Schemes for certification purposes comply with the respective Basic Rules and concludes that no further action is necessary on this matter.

Decision 20/7 — *Proposed changes to Scheme Rules*

The CAB requests that, following approval of the various amendments proposed to the IEC Statutes, the *ad hoc* Group on Scheme Issues develops the modifications to the respective Scheme Basic Rules necessary to ensure consistency with the amended IEC Statutes and Rules of Procedure.

Decision 20/8 — *IECEE Chairman's report*

The CAB thanks the Chairman of the IECEE for his comprehensive report on the activities of the Scheme. The CAB notes the restrictions in China on the issue of the compulsory CCC Mark based on acceptance of CB Certificates relying on tests at manufacturers' facilities and requests both the Chinese member and the IECEE to report to the next meeting on progress in resolving the issue.

Decision 20/9 — *Appointment of IECEE Officers*

On the recommendation of the IECEE CMC the CAB appoints Mr Gösta Fredriksson as Chairman of the IECEE and Mr Jun Xie as Vice-Chairman of the IECEE for a second three year term commencing 1 January 2007.

Decision 20/10 — *IECEE Accounts for 2005*

The CAB approves the IECEE audited accounts for the year ended 31 December 2005 contained in CAB/626/DV.

Decision 20/11 — *IECEE Budget 2007*

Noting the recommendation of the IECEE CMC, the CAB approves the IECEE budget for 2007 contained in document CAB/627/DV.

Decision 20/12 — *IECEE Basic Rules*

The CAB approves the revised IECEE Basic Rules, IECEE 01, contained in CAB/628/DV, noting in particular the change of name to *IEC System of Conformity Assessment Schemes for Electrotechnical Equipment and Components*.

Decision 20/13 — *IECEE 02 and 03*

The CAB notes the revised versions of IECEE 02 and 03 and the changes of title of the CB Scheme and the FCS to *IECEE CB Scheme for Mutual Recognition of Test Certificates for Electrotechnical Equipment and Components* and *IECEE CB-FCS Scheme for Mutual recognition of Conformity Assessment Certificates for Electrotechnical Equipment and Components* respectively.

Decision 20/14 — *IECEX Chairman's Report*

The CAB thanks the IECEX Chairman for his report and welcomes the continuing development and growth of the Scheme, particularly the increasing level of industry participation in the Management Committee. The CAB notes the preliminary work carried out on the possible introduction of a Personnel Certification Program in the Scheme and requests the IECEX Chairman to provide further information on the proposal, including details of any necessary amendments to the Scheme Basic Rules, for future consideration by the CAB.

Decision 20/15 — *Re-appointment of the IECEX Secretary*

The CAB appoints Mr Chris Agius to a further 5-year term as Secretary of the IECEX commencing on 1 January 2007.

Decision 20/16 — *IECEX Accounts for 2005*

The CAB approves the IECEX audited accounts for the year ended 31 December 2005 contained in CAB/623/DV.

Decision 20/17 — *IECEX Budget 2007*

Noting the recommendation of the IECEX MC at its meeting held in September 2006, the CAB approves the IECEX budget for 2007 contained in document CAB/624/DV.

Decision 20/18 — *IECQ Chairman's Report*

The CAB thanks the IECQ Chairman for his report and notes the interest being shown by some countries and some additional certification bodies in joining the Scheme.

Decision 20/19 — *IECQ Accounts for 2005*

The CAB approves the IECQ audited accounts for the year ended 31 December 2005 contained in CAB/630/DV.

Decision 20/20 — *IECQ Budget 2007*

Noting the recommendation of the IECQ MC, the CAB approves the IECQ budget for 2007 contained in document CAB/631/DV.

Decision 20/21 — *Appointment of IECQ Officers*

On the recommendation of the IECQ MC the CAB appoints Mr David Smith as Chairman and Mr Lloyd Condra as Vice-Chairman of the IECQ Management Committee for a second three-year term commencing on 1 January 2007.

Decision 20/22 — *Revision of Guide 102*

The CAB welcomes and approves the IECQ proposal to prepare a document for the Scheme's use to replace IEC Guide 102, *Electronic components – Specification structures for quality assessment (Qualification approval and capability approval)*, and resolves to notify the SMB of the proposed action, and to transmit the CAB view that the requirements included in the ISO/IEC Directives are sufficient and that therefore Guide 102 may be withdrawn.

Decision 20/23 — *ISO/IEC Directives' treatment of conformity assessment*

The CAB welcomes the SMB's proposal for a joint working group to examine the treatment of conformity assessment in the ISO/IEC Directives and to make proposals to the DMT and SMB for any necessary changes or clarifications. The CAB requests its Chairman to seek nominations from CAB members and to appoint two CAB members to this group.

Decision 20/24 — *Report from ISO CASCO Officers*

The CAB thanks the ISO CASCO Officers for their excellent collaboration with the CAB and the IEC and the information they have provided over the years.

Decision 20/25 — *CASCO WG Coordinators*

The CAB approves the IEC-appointed members of CASCO Management Groups and the members and coordinators in CASCO Working Groups as listed in CAB/609A/INF, adding Mr Heribert Schorn to WG 28 and Mr Agius to the future WG to revise ISO/IEC Guide 65.

Decision 20/26 — *CAB/IAF Cooperation*

Following the first CAB/IAF Technical Panel meeting the CAB notes the positive outcome of the collaboration with the IAF and the uniform understanding reached between the parties pertaining to the IAF Guidance to ISO/IEC Guide 65. The CAB supports the efforts of the Head of the CAB delegation to clarify the part of the draft IAF Guidance related to assessment of a Certification Body being part of a large legal entity.

Annex 1 of CAB/643A/DL
Changes to the IEC Statutes and Rules of Procedure proposed by the CAB

STATUTES

MEMBERSHIP (Article 4)

Any country desiring to participate in the work of the Commission shall form an Electrotechnical Committee for its own country; upon admission, this Committee is called the National Committee*.

There shall be only one National Committee for each country.

Only the National Committees of those countries officially recognized by the United Nations Organization (UNO) may become members of the Commission.

A National Committee shall be fully representative of national interests in the fields of activity of the Commission. Members of the Commission shall be those National Committees which have agreed to abide by the Statutes and the Rules of Procedure.

Members undertake:

- a) to promote the object of the Commission,
- b) to support the Commission's work, and
- c) to implement, in a transparent way, to the maximum extent possible, the Commission's International Standards, in their national and regional standards.

Depending on the level of its economic activity, evaluated on the basis of criteria defined in the Rules of Procedure, a National Committee may be admitted either as a Full Member or as an Associate Member.

It is the right of all Full Member National Committees to participate in all the technical activities of the Commission.

Each Full Member National Committee shall have one vote in all bodies of the Commission.

Associate Member National Committees shall have the right to take part in the Commission's activities in accordance with the provisions set ~~out for this purpose~~ in Article 13 of the Statutes and in Clauses 7.1, 10.3 and 11.4 of the Rules of Procedure. They shall have no voting rights, except in specific technical committees/technical matters, in accordance with Clause 11.4 of the Rules of Procedure and in any conformity assessment scheme of which they are a member. Their representatives cannot be elected to any official position in the Commission.

* Note: Any country desiring to do so may use any other appropriate designation.

RULES OF PROCEDURE

MEMBERSHIP Clause 4 (part only)

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A National Committee with or having had Full Membership status, even if suspended or withdrawn, is not allowed to apply for Associate Membership.

Each National Committee shall undertake to pay its annual dues during a minimum period of two years and shall give at least one calendar year's notice, taking effect on 1st January of the following year, if it desires to withdraw from membership of the Commission.

Any National Committee whose dues for the two preceding years have not been fully paid will have its membership suspended for a period which shall not exceed five years, after which it shall be considered as having withdrawn from membership.

While suspended, a Full or Associate Member National Committee shall lose all its rights in any activity of the Commission. not be entitled to hold a seat or to be represented on any body of the Commission, or to exercise its vote. Suspended members shall not have access to the Commission's document servers and shall not receive publications free of charge.

A suspended National Committee shall be automatically re-instated to its previous status following the completion of the payment of its dues for the last two years before suspension and payment of the current dues for the year of re-admission.

A National Committee having withdrawn from Full or Associate Membership can be re-admitted only by following the application procedure for new membership. Its previous debts on account of dues shall then be considered void and shall be written off from the Commission's accounts by the Auditors.

STATUTES

CONFORMITY ASSESSMENT BOARD Article 12 (part only)

The Council, through the Council Board, delegates to the Conformity Assessment Board the overall management of the conformity assessment activities of the Commission.

The Conformity Assessment Board shall take any action it considers necessary to promote and facilitate the operation of the Commission's conformity assessment activities. (See Appendix 2 to the Rules of Procedure).

In this respect, within the limits defined by the Council Board, participation in the IEC conformity assessment schemes shall be open to non-IEC member countries.

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CONFORMITY ASSESSMENT SCHEMES (Article 13)

The conformity assessment work of the Commission is carried out by schemes, each having a different scope of activity. The schemes report to the CAB, and are governed by management committees composed of representatives of Full Member National Committees, or bodies notified by Full Member National Committees, of participating countries. A non-IEC member country participating in a scheme may also be represented on the management committee.

Full and Associate Member National Committees, or bodies notified by them, are eligible to seek membership of the schemes. A non-IEC member country may, with the concurrence of ExCo regarding general IEC policy and satisfying the specific requirements for membership of a scheme, be admitted to that scheme.

Suspended National Committees, or bodies notified by them for scheme membership, shall not be permitted to retain membership of any conformity assessment scheme or be admitted to membership in any scheme during the period of suspension.

The schemes are set up, modified or disbanded by the Conformity Assessment Board. They ~~schemes~~ may create and delegate responsibilities to bodies such as committees of testing laboratories and appeal boards in accordance with their basic rules, which are approved for each scheme by the Conformity Assessment Board.

~~Full Member National Committees are eligible to take part in the activities of all schemes. A non-IEC member may, with the concurrence of ExCo regarding general IEC policy and satisfying the specific requirements for membership of the applicable scheme, be admitted to the scheme.~~

Each scheme is governed by a management committee composed of representatives of National Committees, or bodies notified by National Committees, of participating countries. A non-IEC member country participating in a scheme may also be represented on the management committee.

The management committee of each scheme shall have at least a secretary, a chairman and a treasurer. These officers are appointed by the Conformity Assessment Board based upon nomination by the schemes' management committee.

Each scheme shall be responsible for its own finances. Its budget and accounts shall be approved annually by the Conformity Assessment Board.