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CAB/476/R

2004-05-14

**INTERNATIONAL ELECTROTECHNICAL COMMISSION**

**CONFORMITY ASSESSMENT BOARD (CAB)**

**Meeting 15, Geneva, 2004-06-08**

**SUBJECT**

**Agenda item 8.2**

CENELEC Conformity Assessment Forum (CCAF): Report of the meeting of 8 June 2004 held in Brussels on 1 March 2004

**ACTION**

The CAB is invited to note this report.

# **CENELEC Conformity Assessment Forum: Report to IEC/CAB meeting of 8 June 2004**

## **1. Introduction**

The CENELEC Conformity Assessment Forum (CCAF) held its 8<sup>th</sup> meeting in Brussels on 1 March 2004. Attached is the list of conclusions as adopted at the end of the meeting.

## **2. Certification of electrical equipment for use in potentially explosive atmospheres**

At its 6<sup>th</sup> meeting (on 4 October 2002) CCAF supported the proposal to start a discussion at the level of the European Commission for the revision of the ATEX directive with a view to make reference to certification of this type of equipment under the IECEx scheme, also at European level.

Further to this proposal, a concrete proposal was addressed to the European Commission DG Enterprise, to start the abovementioned discussions.

With its letter dated 2003-05-19 the Commission formulated some positive observations towards the CCAF Chairman as a response to further explore the interface between the two regimes.

CENELEC will keep IEC/CAB informed on any further developments in this initiative.

## **3. Possible application CENELEC Guide 26 in IEC**

Reference is made to the ongoing discussions at the level of ad hoc IEC SMB/CAB WG, which will present its opinion on the possible application CENELEC Guide 26 in IEC at the next SMB meeting in June 2004.

## **4. ISO/CASCO matters**

With reference to the 2004 programme of work of ISO/CASCO, CCAF supports in particular the work item concerning the development of an International Standard for peer assessment of conformity assessment bodies (prEN ISO/DIS 17040), for which the closing date of the voting procedure was scheduled on 2004-04-20.

Brussels, 7 May 2004  
CENELEC CS  
Directorate Operational

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Attachments: - list of conclusions 8<sup>th</sup> CCAF meeting

**LIST OF CONCLUSIONS OF THE EIGHTH MEETING  
OF THE  
CENELEC CONFORMITY ASSESSMENT FORUM  
(CCAF)**

**held in Brussels on 1 March 2004**

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**1. INTRODUCTORY MATTERS**

**1.1 Opening of the meeting**

C08/01 The eighth meeting of CCAF was opened by the Chairman, Mr T. Trondvold.

C08/02 CCAF welcomed the presentation made by the CENELEC Director General, Mrs E. Santiago, on the CENELEC Central Secretariat new organization chart. In this context, CCAF noted the proposal made by Mrs A. Humberstone to use the term of “conformity assessment” rather than “certification” under the umbrella of the Directorate Operational.

**1.2 Approval of the draft agenda**

C08/03 The participants approved the draft agenda, doc. CCAF08/Sec0126/DA, and noted the following tabled document: CCAF07/Sec0135/MTG, Progress report on the follow-up of actions (item 1.3.2)

**1.3 Matters arising from previous CCAF meetings**

**1.3.1 Conclusions of the 7<sup>th</sup> meeting**

C08/04 CCAF noted and approved the list of conclusions of the 7<sup>th</sup> meeting, document CCAF07/Sec0116/CL without comments.

**1.3.2 Related actions: progress report**

C08/05 CCAF noted that all outstanding actions related to the conclusions drawn from the previous CCAF meetings had been completed, except for those which are on the agenda of this meeting and for the following ones which have to be developed further:

C04/19-05/20 To inform the CCAF members on the revised version of CEN/CLC IRs Part 4, prepared by the CEN Certification Board, and to invite the Keymark EMS01 Agreement, to give their opinion on essential aspects of the modifications.  
**ACTION:** Secretary

C05/19 To distribute the document developed by Mr P.D. Stokes, on behalf of the CCA and HAR signatories, explaining the experience and developments in history of both schemes, on which their current practice of operation is based, as a separate CCAF document.  
**ACTION:** Secretary

C06/07-06/08 CENELEC CS to address an invitation to each CENELEC technical body to consider the setting up of an interpretation panel in co-ordination with its corresponding IEC/TC.  
**ACTION:** CENELEC CS

### 1.3.3 CCAF report to 43 CENELEC AG

C08/06 CCAF noted the report presented by the Chairman to the 43<sup>rd</sup> General Assembly of CENELEC in June 2003, and noted in particular the withdrawal of CENELEC Memorandum 11 – Elements for assessment of reciprocity in the field of certification and the withdrawal of CLC(PERM)019 – Guidance criteria for the participation to a Mutual Recognition Arrangement by parties outside CENELEC member countries, as proposed at the 7<sup>th</sup> CCAF meeting.

## 1.4 **Other matters arising, not covered by the agenda**

No matters arose.

## 2. **OPERATIONAL MATTERS**

### 2.1 **CCAF Strategic Targets**

#### 2.1.1 ST1: Interpretation of European standards – CENELEC Guide 26: implementation

C08/07 CCAF noted the ongoing discussions at the level of ad hoc IEC SMB/CAB WG, which will present its opinion on the possible application CENELEC Guide 26 in IEC at the next SMB meeting in June 2004. Some CCAF members expressed concerns about the lack of interest by some representatives of the certification schemes.

#### 2.1.2 ST2: Dialogue with European Commission + EFTA

C08/08 CCAF noted with interest the Council resolution of 10 November 2003 ‘Enhancing the implementation of the New Approach Directives’, and supported the proposal to approach the European Commission for a thorough exchange of views on the pros and cons of the meaning of the CE marking and its relation to voluntary marks.  
**ACTION:** Chairman + Secretary

- C08/09 CCAF supported the Chairman's proposal to send a new copy of the letter addressed to the European Commission, to Mr M. Ayrat, succeeding Mr Vardakas, in order to offer our contribution as a stakeholder in the discussions on the practical aspects of the implementation of the Council resolution of 10 November 2003.  
**ACTION:** Secretary
- 2.1.3 ST3: Total market surveillance support
- C08/10 CCAF noted the report from the CCAF WG on Market Surveillance as presented by Mr Paul Romanus, WG Convenor, and supported the conclusions to refrain for the time being from any further actions, awaiting the reply from the European Commission, with regard to the implementation of the Council resolution.
- 2.1.4 ST4: Communication link with CEN concerning the Keymark
- C08/11 CCAF noted the outcome of the 5<sup>th</sup> meeting of the CEN/CLC Certification Liaison Committee meeting, and supported the setting up of a CEN/CLC WG to study the differences and similarities between the application of the Keymark in CEN and CENELEC area, in order to arrive at a clear definition of the meaning of the Keymark. The document developed by Mr P.D. Stokes on the experience gained in the electrotechnical field (see C05/19), can be very useful in this context. CCAF noted that this WG will study also the other issues identified at the last CCAF meeting concerning the use of CEN and CENELEC standards as the basis for Keymark certification.  
**ACTION:** Ad hoc CEN/CLC WG
- C08/12 CCAF supported the emphasis stressed by some CCAF members that the abovementioned WG should reach its conclusion as quickly as possible.
- 2.1.5 ST5: Strengthening value of peer assessment
- No matters arose
- 2.1.6 ST6: Availability of information on conformity assessment
- C08/13 CCAF noted the availability of the 'conformity assessment layer' of the CENELEC website where the necessary links to the websites of the different MRAs, as well as IEC/CAB, have been established. It also includes reference to the CCAF presentation on Market Surveillance.
- 2.1.7 ST7: Exchange information with IEC/CAB
- C08/14 CCAF noted the report presented by the Chairman, Mr T. Trondvold, concerning the 2003 meetings of IEC/CAB.
- C08/15 As regards the proposal to make reference to the IECEx scheme for certification of products covered by the ATEX directive, CCAF noted the support obtained from the European Commission. CCAF noted also the concerns raised by Mr J-P. Isnard, on behalf of the French delegation, to look for full reciprocity when this proposal is implemented.  
**ACTION:** Secretary to follow-up with the ExNBG

2.1.8 ST8: Communication channel with ETSI

2.1.9 ST9: Communication channel with CEN

C08/16 CCAF noted the report from the Chairman concerning the CEN/CENELEC/ETSI coordination meeting in Nice in January 2004, during which there has also been an opportunity to exchange information with ANSI representatives.

2.1.10 ST10: Monitor political aspects of ISO/CASCO matters

C08/17 CCAF noted the 2004 programme of work of ISO/CASCO as presented by the Secretary, and supported in particular the work item concerning the development of an International Standard for peer assessment of conformity assessment bodies (prEN ISO/DIS 17040), for which the closing date of the voting procedure is scheduled on 2004-04-20.

2.1.11 ST11: Report to CENELEC AG

C08/18 CCAF agreed to include the following subjects in the report to the CENELEC AG:

- information on the Council Resolution on the Communication of the European Commission 'Enhancing the Implementation of the New Approach Directives' and corresponding CCAF recommendations;
- follow-up of "CENELEC's View on Market Surveillance";
- coordination with CEN concerning the use of the CEN/CENELEC Keymark;
- co-operation with IEC/CAB;
- revised list of CCAF Strategic Targets.

**ACTION:** Chairman + Secretary

2.1.12 Review/update of the CCAF Strategic Targets

C08/19 Further to a survey presented by the Chairman, the CCAF members agreed to revise the CCAF Strategic Targets and related action plans as described in the annex 1 to this conclusions list. Due to their low priority, some of the Strategic Targets have been transformed into "Operational matters". CCAF decided to keep these operational matters as separate agenda items for future CCAF meetings.

**ACTION:** Secretary

C08/20 On the basis of a proposal made by Mr. E. Ceelen, representing CELMA and the ENEC-AC, CCAF agreed on the adoption of an additional Strategic Target, called provisionally "To address conformity assessment at European level in the light of globalisation".

## **2.2 Input from the Mutual Recognition Agreements or their Advisory Committees**

### **2.2.1 Merger of IECQ and CECC certification schemes**

- C08/21 The CCAF members noted the information provided by CENELEC CS on the signing in 2003 of an agreement between CENELEC and IEC, authorizing IEC and its members to grant the use of the CECC mark for electronic components of assessed quality, through which the IECQ and CECC certification schemes have been merged into the IECQ-CECC system.

### **2.2.2 Report on 2003 meetings of LVE-CCC and CIG**

- C08/22 CCAF noted the secretary's report on the current developments in the framework of the different certification schemes operating under the coverage of EEPKA (European Electrical Products Certification Association), and in particular the proposal to substitute the LVE-CCC with a new "European Certification Council" with the aim to deliberate and give advice on issues which are of interest to both manufacturers and certification bodies. Mr M. Vint, representing these schemes, will provide the full report of the extraordinary CIG meeting of November 2003, in order to clarify the objectives of the proposals aiming at harmonization of procedures and avoiding duplication of work.

**ACTION:** Mr M. Vint

- C08/23 Referring to the new strategic target ST 12, CCAF agreed to the Chairman's proposal to set up a WG with the task to make proposals concerning the title, scope and proposed actions. The WG is composed of: Mrs A. Humberstone, Messrs M. Cumps, JP. Isnard, G. Imgrund, E. Ceelen, H. Walker, and possibly a representative of ANEC. Mr Ceelen will convene the first WG meeting.

**ACTION:** Mr E. Ceelen

## **3. REPORTS / MATTERS FOR INFORMATION**

### **3.1 UNECE WP.6 - Report**

- C08/24 The CCAF members noted without comments the report from the CCAF secretary on the 13<sup>th</sup> session of the UNECE Working Party 6 on Technical Harmonization and Standardization Policies, held in Geneva on 10 till 12 November 2003, and noted in particular:

- the continuing efforts for the promotion of the UNECE model for technical harmonization based on good regulatory practice via the use of international standards;
- the establishment at UNECE level of an Advisory Group on Market Surveillance, following the recommendations taken at the 12<sup>th</sup> session of WP 6.

## **4. MISCELLANEOUS**

### **4.1 Any other business**

No matters arose.

## **4.2 Future meetings**

C08/25 CCAF decided to hold its next meeting on 1 March 2005 in the CEN/CENELEC Meeting Centre, starting at 10.30 a.m.

## **4.3 Draft conclusions**

C08/26 The Forum approved the draft list of conclusions from the 8<sup>th</sup> CCAF meeting, document CCAF08/Sec0136/CL, distributed at the end of the meeting, with the amendments and additions agreed verbally.

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Annex 1: Revised list of CCAF Strategic Targets

Annex 2: Attendance list



doc. ref :

edition :

date :

**LIST OF CCAF STRATEGIC TARGETS  
AND RELATED ACTION PLANS  
AS REVISED DURING THE EIGHTH MEETING OF CCAF**

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**STRATEGIC TARGETS**

1. To establish a co-ordinated approach to make the interpretation of electrotechnical standards used for the European market publicly available.
2. To continue the dialogue and to exchange relevant information on CENELEC standards aspects with the European Commission (EC) and the EFTA Secretariat in relation to conformity assessment matters.
3. To investigate further means of giving support to the total market surveillance on the European market, taking into account voluntary and regulatory aspects, as far as CENELEC standards are concerned.
4. To maintain the communication link with CEN concerning matters of conformity assessment, in particular the implementation in CEN and CENELEC of the Keymark.
5. To support activities aimed at strengthening the value of peer assessment, in particular the link with accreditation.
6. To facilitate the availability of information on conformity assessment activities. *Moved to operational matters*
7. To exchange information with IEC/Conformity Assessment Board (CAB). *Moved to operational matters*
8. To establish and maintain a communication channel with ETSI on areas of mutual interest related to conformity assessment. *Moved to operational matters*
9. To maintain a communication channel with CEN on areas of mutual interest related to conformity assessment. *Merged with ST4*
10. To monitor with CENELEC NCs the political aspects of ISO/CASCO matters. *Moved to operational matters*
11. To prepare an annual report to the CENELEC General Assembly, in the light of CCAF's advisory role as defined in the Mission Statement. *Moved to operational matters*
12. To address conformity assessment at European level in the light of globalisation. (provisional title)

Strategic target	Action plan(s)
ST 1	<ul style="list-style-type: none"> <li>• To develop a CENELEC format for the presentation of Interpretation Sheets.</li> <li>• To start providing regular information on existing Interpretations.</li> </ul>
ST 2	<ul style="list-style-type: none"> <li>• To continue a dialogue with the European Commission and the EFTA Secretariat on CENELEC standards aspects, to establish recognition of CCAF with a view of continuing exchange of information in relation to conformity assessment matters.</li> <li>• To initiate discussions on key subjects such as the meaning of the CE marking and its relation to voluntary marks.</li> <li>• To reply positively to any invitation to discuss proposals for the implementation of the Council Resolution Enhancing the Implementation of the New Approach Directives.</li> </ul>
ST 3	<ul style="list-style-type: none"> <li>• To put on hold the WG on total market surveillance support, awaiting further developments at the level of the European Commission.</li> <li>• The WG convenor to reactivate this WG if and when appropriate.</li> </ul>
ST 4	<ul style="list-style-type: none"> <li>• CCAF to invite CENELEC CS to continue keep it informed on developments within CEN concerning the CEN/CENELEC European mark (the Keymark) through its representation on the CEN/CLC Certification Liaison Committee.</li> <li>• The adhoc CEN/CLC WG to deliver results and proposals as quickly as possible.</li> </ul>
ST 5	<ul style="list-style-type: none"> <li>• To follow-up the development at ISO/CASCO level of the international standard for peer assessment of conformity assessment bodies (prEN ISO/DIS 17040).</li> <li>• To put the Strategic Target 5 on hold and to reactivate it at a later stage as appropriate.</li> </ul>
ST 6	<i>Becomes OM 6.</i>
ST 7	<i>Becomes OM 7.</i>
ST 8	<i>Becomes OM 8.</i>
ST 9	Merged with Strategic Target n° 4.
ST 10	<i>Becomes OM 10.</i>
ST 11	<i>Becomes OM 11.</i>
ST 12	<ul style="list-style-type: none"> <li>• The WG to make proposals concerning title, scope and proposed actions.</li> </ul>

## Eighth meeting of the CENELEC Conformity Assessment Forum held in Brussels on 1 March 2004

### ATTENDANCE LIST

**CENELEC** Mr T. TRONDVOLD, Chairman  
Mrs E. SANTIAGO, Director General  
Mr G. DE CLERCQ, Secretary

*Trondvold*  
*Santiago*  
*De Clercq*

#### Representatives of CENELEC NCs

<b>Belgium</b>	<del>Mr P. ROMANUS</del> <i>Mr. Marc COMPS</i>	<i>COMPS</i>
<b>Czech Republic</b>	Mr V. VOVES	<i>VOVES</i>
<b>Denmark</b>	Mr R. NIELSEN	
<b>Estonia</b>	Mr R. JUHANSON	<i>apologies</i>
<b>Finland</b>	Mr J. VESA	<i>VESA</i>
<b>France</b>	Mr J-P. ISNARD	<i>ISNARD</i>
<b>Germany</b>	Dr G. IMGRUND	<i>IMGRUND</i>
<b>Hungary</b>	Mr J. TARCSI	<i>TARCSI</i>
<b>Ireland</b>	Mr B.J. CUNNINGHAM	
<b>Italy</b>	Mrs C. TIMO	<i>Celine Timo</i>
<b>Latvia</b>	Mr M.A. GERKE	

**Luxembourg** Mr J.-P. HOFFMANN

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**The Netherlands** Mr D. BAKKER

apologies .....

**Norway** Mr B. ØDEGÅRD

apologies .....

**Poland** Mr S. SERAFIN

.....

**Slovakia** Mr A. SVATIK

.....

**Slovenia** Mr I. LIKAR

apologies .....

**Spain** Mr F. VERDERA

.....

**Sweden** Mr H-E. RUNDQVIST

.....

**United Kingdom** Mrs A. HUMBERSTONE

.....

Mr M. GRAHAM.....

.....

**Representatives of MRAs and their client base**

**CCA** Mr W. MARTIN (Chairman)

Apologies  
Represented by Mr Vint

Mr E. GOOSMANN (CCA-AC)

.....

**ENEC** Mr M. van der DUSSEN (Chairman)

Apologies  
Represented by Mr Vint

Mr E. CELEN (ENEC-AC)

.....

**HAR** Mr V. BAGGIO (Chairman)

apologies Represented by Mr Vint

Mr. S.L. MASON (HAR-AC)

apologies .....

**Keymark Group** Mr M. VINT (Chairman)

.....

..... (Keymark-AC)

.....

**LOVAG** Dr H. HUTHMANN (Chairman)

apologies .....

Mr H. WALKER (manufacturers/users)

.....

## Advisors - Guests

ANEC Mrs B. FEDERSPIEL

*B. Federspiel*

CECED Mr. B. GEHRKE

*B. Gehrke*

European  
Commission Mrs R. L'ABBATE

*apologies* .....

EFTA Mr J. THORSTEINSSON

UNICE/  
ORGALIME Mr M. CUMPS

*Belgium*

*M. Cumps*

## Observers

EEPCA Mr M. VINT (President)

*see Keymark Group* .....

CEN/CB Mr H. LIAUW

*H. Liauw*

CELMA Mr E. CELEN

*see ENEC-AC* .....

CROATIA Mr V. MUCKO

*apologies* .....

*Mr. P. Romanus (Commence w/o  
Market Functioning)*

*P. Romanus*