



CAB/791/DL
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2008-11-18

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB)

SUBJECT

Decision List of CAB meeting 24 held in São Paulo on 2008-11-18

Decision 24/1 — *CAB Agenda*

The CAB approves the revised draft agenda presented in document CAB/777B/DA.

Decision 24/2 — *Decisions drafting group*

The CAB resolves that, on a trial basis for the current meeting, the CAB meeting shall establish a Decisions Drafting Group to review the draft decisions (which are generated before the meeting) during the breaks in the meeting, and present them to the CAB immediately after each break. It would consist of one member each from the Americas, Europe and Asia. For the current meeting the CAB appoints Messrs Spindler and Ono and Ms Sterling as members of the Group.

Decision 24/3 — *Affiliate Country Programme*

The CAB expresses its regret at the inability of Mr Carlos Rodriguez, leader of the Affiliate Country Programme, to participate in the meeting. The CAB welcomes the continuing growth of the Programme and the increasing interest among Affiliate countries in both standardization and conformity assessment, including membership in the Systems. The CAB and the Systems reiterate their willingness to provide assistance to countries in the Programme, including participating in workshops and seminars.

Decision 24/4 — *Representation on System Governance and Management Committees*

The CAB resolves that each System's annual report to the CAB on the results of System management committee meetings should include comment on progress with developing representative Member Bodies and with the inclusion of appropriate representation in MC delegations, together with details of action taken by the System to achieve the appropriate representation at all levels of System operations.

Decision 24/5 — *System reporting on financial management*

The CAB requests the Systems to report to the CAB annually (end-of-year meeting) on their updated long-term financial plans, their financial policies, their strategies to reduce dependence on surcharges and other unreliable sources of income and actions taken to reduce any identified financial risk.

Decision 24/6 — *Appointment of Convenor for WG 11*

The CAB appoints Dr Hiromichi Fujisawa as Convenor of WG 11, System issues, effective 1 January 2009.

Decision 24/7 — *Admission into the Systems of bodies from non-IEC-member countries*

The CAB approves the text of the document attached to CAB/775/R, the revision of Conditions for bodies and organizations domiciled in non-member countries to become members of an IEC System.

Decision 24/8 — *Tenure of System Officers*

The CAB endorses the following policy on tenure of System Officers and recommends that the Systems consider revision of the relevant requirements in the current Basic Rules to align them with this policy.

The Chairman, Vice-Chairman and Treasurer of a System shall be appointed for a period of three years by the CAB on the nomination of the System management committee. They are eligible once for re-appointment in the same office for a further period of three years. If at the conclusion of a second or subsequent term there are no new candidates nominated for election to a position, the System management committee may by specific resolution, outlining the circumstances, propose to the CAB that the incumbent Officer be appointed to a further term of three years in that position.

Decision 24/9 — *WG 12, Energy Efficiency*

The CAB notes the progress made with the data collection phase of the work of Working Group 12 and appoints Mr Thomas Korssell as convener of the WG with effect from 1 January 2009.

Decision 24/10 — *Convenor of WG 10, CAB Strategy*

The CAB expresses its appreciation to Mr Gryn for his work as Convenor of Working Group 10 and appoints Mr Imgrund as Convenor of WG 10 with effect from 1 January 2009.

Decision 24/11 — *Conformity Assessment Board Policy Statement*

The CAB approves the Conformity Assessment Board Policy Statement as modified in the meeting and included in CAB/765A/INF.

Decision 24/12 — *Appointment of the IECEE Treasurer*

The CAB notes that the recommendation from the IECEE CMC, for the appointment of Mr Fritz Beglinger for a fourth consecutive term as IECEE Treasurer, is contrary to the provisions of the IECEE Basic Rules. However, based on the advice that no nominations for the position were received by the CMC at its June 2008 meeting and taking account of Decision 24/8 on the tenure of System Officers and the impending revision of the IECEE Basic Rules, the CAB resolves to extend the current term of Mr Beglinger by three years, concluding 31 December 2011.

Decision 24/13 — *Normative documents approved for use in the IECEE*

The CAB approves the addition of relevant ISO standards to those documents listed in Annex D of the IECEE Basic Rules. The list of approved documents becomes:

- IEC standards for electrotechnical equipment and components included in the approved categories of equipment listed in Annex C;
- ISO standards in cases where no relevant IEC standards exist for a product included in the approved categories of equipment listed in Annex C.

Decision 24/14 — *Criteria for CAB consideration of amendments to System Scope*

The CAB endorses the IECEE proposal for the development of criteria for use by the CAB when considering proposals for changes to a System's Scope and requests WG 11 to further develop the criteria, taking note of the matters raised in IECEE CMC Decision 07/2008.

Decision 24/15 — *Appointment of IECEx Officers*

On the recommendation of the IECEx Management Committee the CAB appoints Mr Kerry McManama as Chairman of the IECEx for a first three-year term commencing on 1 January 2009, Mr LU Weijun as Vice-Chairman for a second three-year term commencing on 1 January 2009 and Mr Heinz Berger as Treasurer for a third full three-year term commencing on 1 January 2009.

Decision 24/16 — *Appointment of IECEx Board of Appeal*

The CAB appoints Mr Ron Sinclair (UK), Mr Jim Birch (Australia), Mr Robert Martell (USA) and Mr Gerhard Schwartz (Germany) as members of the IECEx Board of Appeal, each for second three-year terms, and Mr John Allen (UK), second three year term, and Mr Nick Maalouff (Canada), first three-year term, as deputies of the IECEx Board of Appeal. All terms will commence on 1 January 2009.

Decision 24/17 — *Standards for use in the IECEx*

Where no appropriate IEC standard exists the CAB approves the use by the IECEx of ISO standards relevant to the Scope of the IECEx listed in Annex B of the Basic Rules IECEx 01. The list of approved documents in Annex C of the IECEx Basic Rules becomes:

- IEC Standards covering equipment and services within the approved scope of the System (CAB Decision 21/7)
- In cases where no relevant IEC Standards exist, ISO Standards applicable to the approved Schemes listed in Annex B.

Use in the IECEx System of any standard other than IEC and ISO Standards will require specific individual approval by the CAB.

Decision 24/18 — *IECEx Certified Persons Scheme*

Noting the recommendation from the IECEx Management Committee, the identified support from industry and the business case for the introduction of Personnel Certification within the IECEx System, the CAB approves the introduction of the proposed IECEx Certified Persons Scheme. It requests IECEx to provide a written summary of the presentation made by the IECEx Secretary to the CAB Secretary for circulation as a CAB document no later than the end of this year.

Decision 24/19 — *Use/abuse of the IECEx Mark*

Noting that appropriate insurance arrangements have been made by the IEC with respect to the operation of the IECEx Mark Licensing Scheme, the CAB requests the IECEx to take the following actions concerning the use and possible misuse of the IECEx Mark:

1. Require certification bodies operating the IECEx Mark Licensing Scheme to report to the IECEx without delay on any identified misuse of the Mark by a licensee, including details of actions taken by the certification body.
2. Require certification bodies operating in the IECEx Mark Licensing System to report to the IECEx Secretariat any claims, actions or judgements relating to their participation in the Scheme.
3. Require all IECEx Members and certification bodies to draw the attention of the IECEx Secretary to any identified instances of misuse of the Mark by unlicensed persons.
4. While IECEx Members and certification bodies should be required by the IECEx to report on the matters raised under 1, 2 and 3 as soon as practica-

ble, the IECEx Secretary should specifically request such reporting on an annual basis and in turn provide an annual report to the CAB.

The IECEx Secretary should report any serious instances of misuse of the Mark to the IEC General Secretary as soon as practicable.

Decision 24/20 — *IECEE Basic Rules*

On the recommendation of the IECEE CMC the CAB approves the revised IECEE Basic Rules included in CAB/779A/R with the addition of a second bullet point in Annex D to incorporate CAB's decision 24/13:

- ISO standards in cases where no relevant IEC standards exist for a product included in the approved categories of equipment listed in Annex C.

Decision 24/21 — *IECEX Basic Rules*

The CAB approves the changes to the IECEx Basic Rules, IECEx 01, recommended by the IECEx Management Committee and included in CAB/783A/DV, with the following amendments to CAB/783A/DV:

In Clause 3, Scope, delete:

Where no IEC exist, the IECEx System provides for the use of non IEC Standards under the conditions specified in Annex C.

In Annex C delete:

- *Non IEC Standards that satisfy the following*
 - *Where no IEC Standard exists*
 - *The normative document has been published as a Standard under a consensus process*
 - *Has been approved for use in the IECEx System by the ExMC and notified to the CAB*
 - *Shall cease to be used once an IEC Standard, covering this scope, is published with existing certifications being given a period of time, determined by the ExMC, to meet the new IEC Standard or cancelled*

and substitute the following in accordance with CAB decision 24/17:

- ***In cases where no relevant IEC Standards exist, ISO Standards applicable to the approved Schemes listed in Annex B.***

Decision 24/22 — *Representation on CASCO WGs and other Groups*

The CAB notes that Dr Fujisawa will replace Mr Gray as the IEC representative on the CASCO Plenary, the CASCO CPC and the ILAC/IAF/ISO Joint Working Group, names Dr Wolfgang Kreinberg to the working group revising ISO/IEC 17020, and invites the Systems to name representatives as necessary to the new working groups revising ISO/IEC 17020 and ISO/IEC 17024, as well as make proposals for the coordinators.

Decision 24/23 — *IEC/IAF Memorandum of Understanding*

The CAB welcomes the signing of the Memorandum of Understanding between IEC and IAF and requests the Systems to agree to participate in the MoU and to implement the initiatives included in the MoU.