INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB)	**Meeting 37, Geneva, 2015-06-15**

SUBJECT

List of the decisions taken at CAB meeting 37, Geneva, 2015-06-15

Decision 37/1 — *Approval of the agenda*
> The CAB approved the agenda contained in CAB/1370C/DA with the addition in item 8.2 of an issue concerning (Guide to Uncertainly in Measurement) GUM and under item 10 Voting status of member countries within the CA Systems.

Decision 37/2 — *Approval of the consent agenda items*
> The items 4.3, 4.9 and 6.4 were removed from the consent agenda then the CAB, by consent, noted and approved the 20 documents listed in Annex A to this decision list and expressed appreciation to all those concerned with their creation and submission.

Decision 37/3 — *Vademecum*
> The CAB noted the report, CAB/1374/R, and approved the creation of a task force to combine this document with the Vademecum (CAB/1375A/DC).

Decision 37/4 — *Edison Award criteria*
> The CAB noting that the current selection criteria for the Edison Award was not well adapted to the IEC CA situation accepted the offer from Mr Duffy to draft a new criteria to be circulated to all members of CAB for approval and to seek support from National Committees and for submission to the Council Board in Minsk.

Decision 37/5 — *CAB WG membership*
> The CAB noted document CAB/1391/INF and appointed the new members identified therein to the respective working groups with the exception of Sam Sciacca as a member of WG 14, who has recently been withdrawn, Bert Nagtegaal replacing Jos Remy in WG 11, Faudzi Mohd Yasir joins WG 11, Marty Cole joins WG 14, Sang-Geun Lee joins WG 17.

Decision 37/6 — *Special Task Force (STF) on CB-TF proposals*
The CAB noted and endorsed the report, CAB/1376/R, and while recognising that the goal to unify as many as practical of the essential rules and processes of the various CA schemes, and to simplify the introduction of new CA activities, were difficult tasks, nevertheless supported the STF direction towards an incubator concept and toolbox approach, encouraged it to continue to move forward quickly and further encouraged it pursue participation by industry and endusers, and requested that it provide a report to CAB at its meeting in Minsk.

Decision 37/7 — *Peer assessment commonalities*
The CAB noted the document, CAB/1379/INF, which catalogued the commonalities and differences of the peer assessment features between the CA Systems, and, recognizing that many of the processes were very similar but with some essential differences, supported continued investigation of peer assessment for becoming an element of a future IEC CA toolbox.

Decision 37/8 — *CAB Policy regarding peer assessment*
In reference to the USNC document, CAB/1387/DC, the CAB tasked WG 10 with strengthening the language concerning peer assessment in the CAB Policy document with consideration to referencing ISO/IEC 17040.

Decision 37/9 — *USNC Peer Assessment proposal*
Subsequent to CAB Decision 37/8, the CAB tasked WG 11 to use CAB/1379/INF as a basis to perform an operational review of IEC CA Systems' implementation of peer assessment with the goal of ensuring its conformance with CAB policy, seek harmonization where possible and to submit a report of its findings and recommendations. In parallel CAB requests the CA Systems to review this document and contribute to the WG 11 results.

Decision 37/10 — *Acceptance of report CAB/1386/R*
The CAB, noting that the report CAB/1386/R was received after the statutory period of 6 weeks prior to the CAB meeting, and noting that no CAB Member present raised an objection, accepted this report into this meeting.

Decision 37/11 — *ITU collaboration on interoperability CA*
The CAB thanked Mr Chris Agius for his report, CAB/1386/R, and stated its strong support for continued cooperation with ITU.

Decision 37/12 — *Standalone scheme requirements for ITU*
The CAB requested that the ITU cooperation taskforce, in developing a pilot scheme, for CAB approval, will ensure that the IEC CA policies are respected and the taskforce will develop a list of deliverables, conduct a risk assessment and financial impact to the IEC.

Decision 37/13 — *Standalone scheme rules*
The CAB tasked WG 11 with reviewing the proposed Basic Rules of a Standalone Scheme, given in CAB/1386/R.

Decision 37/14 — *CAB WG 10 on Policy and Strategy*
The CAB thanked WG 10 for its report, CAB/1382/R, and for the 8 recommendations contained there-in and decided to treat each as follows:

Recommendation 1: Approved by CAB.

Recommendation 2: In a follow-on from CAB Decision 35/18, CAB agreed that the IP policy should be reviewed by an appropriate CAB sub-group at the appropriate time.

Recommendation 3: Recognizing that this was an issue beyond the CAB's authority, it decided to raise it to the Council Board level.

Recommendation 4: Approved by CAB.

Recommendation 5: The RMG (Risk Management Grid) was approved by CAB in a separate decision (Decision 37/15).

Recommendation 6: Approved by CAB.

Recommendation 7: Approved by CAB.

Recommendation 8: CAB decided to modify this recommendation as follows: CAB recognized that review of new CAB decisions for policy aspects was the task of the CAB Chairman and Secretariat and agreed that such new policy should be accumulated at the end of the IEC CA Policy document, circulated after each CAB meeting allowing the opportunity for any member of CAB to comment, and then integrated into the policy document every few years (eg 5) or immediately if warranted by the situation.

Decision 37/15 — *CAB WG 10 – Risk Management Grid (RMG)*
The CAB thanked WG 10 for its report, CAB/1382/R, and for the updated RMG given in document CAB/1384/INF, approved this version and congratulated WG 10 for having completed this task.

Decision 37/16 — *CAB WG 10 – 2011 Masterplan implementation*
The CAB thanked WG 10 for its report, CAB/1382/R, and for the updated Masterplan implementation document given in CAB/1385/INF, and recognizing that the implementation work delegated to it under CAB Decision 36/5 had been completed (as indicated in recommendations 1, 2, 3, 6, 7 & 8 in CAB Decision 37/14), congratulated WG 10 for having completed this task.

Decision 37/17 — *WG 11 New Convener*
With the former convener being the former CAB Chairman who completed his last term and retired at the end of 2014, the CAB named Tim Duffy as the new convener for WG 11, with immediate effect.

Decision 37/18 — *WG 14 Promotion*
The CAB thanked WG 14 for its report, CAB/1377/R, appreciated the direction it was taking and encouraged it to move forward quickly with the objective to submit a report for the CAB meeting in Minsk.

Decision 37/19 — *CAB WG 16 – systems-approach to CA – disbanded*
The CAB endorsed the WG 16 report CAB/1373B/R in which was given its final Draft Rules for IEC systems-approach to CA and a CA Framework, approved the draft rules as an initial working document, congratulated the WG for having completed its task and then disbanded the working group.

CAB/1400/DL

Decision 37/20 — *Draft Rules for IEC systems-approach to CA and a CA Framework*

The CAB noting the suggestion from Japan given in Annex II of CAB/1373B/R, agreed that the document "Draft Rules for IEC systems-approach to CA and a CA Framework", given in Annex I of the same document, be used for identifying the CA market needs for systems and, further, be used on a voluntary basis for identifying market needs for other CA services.

Decision 37/21 — *CAB WG 17 – Cyber Security*

The CAB thanked WG 17 for its report, CAB/1383/R, noted that its scope is focused on home automation, smart devices (such as smart meters) and medical devices, and indicated that WG 17 should focus on all those sectors concerned with cyber security except those currently being worked on in IECEE (industrial automation).

Decision 37/22 — *IECQ Treasurer appointment*

The CAB, noting the documents CAB/1389/INF and CAB/1388/R, accepts the nomination of Mr Wynn Bowman as the new IECQ Treasurer and appoints him to this position for a 3 years period from 1 January 2016 to 31 December 2018.

Decision 37/23 — *IECQ Executive Secretary re-appointment*

The CAB, noting document CAB/1388/R, accepts the nomination of Mr Chris Agius as the IECQ Executive Secretary and re-appoints him to this position for a new 5 years period from 1 January 2016 to 31 December 2020.

Decision 37/24 — *Misuse of the IEC Certificates, logos and marks*

The CAB decided that the issue of misuse of the IEC certificates, logos and marks would be reported and discussed at each June CAB meeting instead of the open General Meeting.

Decision 37/25 — *IEC Coordinators in ISO/CASCO WGs*

The CAB, noting document CAB/1397/INF, thanks the IEC Coordinators in ISO/CASCO WGs for their important contribution to the representation of IEC in these working group meetings and their reporting to the CAB. In particular the CAB thanks Mr Heribert Schorn, Mr Robert Sitzmann and Mr Chris Agius, and strongly encourages them to continue in this important work.

Decision 37/26 — *IEC-ILAC-IAF MoU*

The CAB approved the proposed MoU, given in document CAB/1378/INF, with the understanding that it had not yet been approved by the ILAC-IAF Joint Executive and that some editorial changes were allowed to be made by the IEC Head of Delegation to then be forwarded to the IEC General Secretary for signing.

Consent Agenda documents (from agenda CAB/1370C/DA)		
Document number	**Subject**	**Agenda item N°**
C/1895/INF	Appointment of two "Automatically Appointed Members" of the Conformity Assessment Board (CAB) by Council in Minsk for a three-year term of office (2016-2018)	4.11
C/1896/DC	Nominations for the election by Council in Minsk of two members of the Conformity Assessment Board (CAB) for a three-year term of office (2016-2018)	4.11
CAB/1318A/INF	Updated 2015 and 2016 deadlines for CAB documents and comments. Deadlines for submission and approval of CA Systems' budgets and for submission of audited accounts. Dates for "1906" Awards and "Thomas Edison" Awards nominations	4.6
CAB/1342A/RM	Confirmed report of CAB meeting 36 held in Tokyo on 2014-11-10	4.1
CAB/1346/INF	Summary of the Standardization Management Board (SMB) decisions of interest to the CAB taken at SMB Meeting 151 held on 10 November 2014 in Tokyo	8.2.2
CAB/1352/INF	Summary of the Council Board meeting held in Tokyo, Japan, on 12 November 2014 covering items of relevance to the CAB	8.1
CAB/1353/INF	Document submission and distribution Timeline Table – effective immediately	4.6
CAB/1357A/RV	Report of voting on document CAB/1357/DV: CAB Decision by correspondence required for first IECRE Treasurer nominee: Mr Lars Sitzki	4.5
CAB/1358/DC	CAB WG 14 – Promotion, items identified in the CAB Masterplan 2011 Implementation Plan (CAB/1313/DV) and Proposed Terms of Reference (ToR).	4.5
CAB/1362A/INF	List of members of CAB as of 2015-05	4.8
CAB/1368A/RV	Report of voting on document CAB/1368/DV: Budget of the IECEx for 2016	4.5
CAB/1369A/RV	Report of voting on document CAB/1369/DV: Budget of the IECQ for 2016	4.5
CAB/1371A/RV	Report of voting on document CAB/1371/DV: Budget of the IECEE for 2016	4.5
CAB/1372A/RV	Report of voting on document CAB/1372/DV: Budget of the IECRE for 2016	4.5
CAB/1381/R	Report from the Chairman of the IECEE pertaining to developments in the IECEE since the 17th IECEE meeting of the Certification Management Committee held in Cairns, June 04/05, 2014 and other topics of interest for the CAB	7.4
CAB/1392/R	Intermediate report from the Chairman of the IECEx, in advance of the ExMC meeting to be held in Christchurch, NZ on 17-18 September 2015	7.2
SMB/5436/DL	List of decisions taken at SMB meeting 151, held on 2014-11-10, in Tokyo, Japan	8.2.2
SMB/5446A/RM	Confirmed summary report of SMB meeting 151, held in Tokyo, Japan, on 2014-11-10	8.2.2
SMB/5492/DL	List of decisions taken at SMB meeting 152, held on 2015-02-10, in Geneva, Switzerland	8.2.2
SMB/5529A/RM	Confirmed summary report of SMB meeting 152, held in Geneva, Switzerland, on 2015-02-10	8.2.2