



CAB/1341/DL
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2014-11-10

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONFORMITY ASSESSMENT BOARD (CAB) Meeting 36, Tokyo, 2014-11-10

SUBJECT
List of the decisions taken at CAB meeting 36, Tokyo, 2014-11-10

Decision 36/1—Approval of the agenda

The CAB approves the agenda contained in CAB/1300B/DA.

Decision 36/2 — Gratitude to Affiliate Country Leader for his presentation

The CAB expresses its gratitude to Mrs Rauser for her presentation to the CAB, on behalf of Mr Phuntsho Wangdi, document CAB/1331/INF, appreciates the new Pledge and ACAS Declaration that requires signatory countries to accept Certificates and Reports issued by IEC CA Systems within five years of signing, recognizes the importance of the ACAS e-learning material, and encourages increasing interaction and events between the IEC CA activities and the Affiliate Country Programme.

Decision 36/3 — Council Board Task Force (CB-TF) proposals

The CAB, in the context of the Council Board recommendations given in CAB/1293/INF, accepts the recommendation given in CAB/1323/DV and decides to create a Special Task Force (STF) under the convenership of the new CAB Chairman (who begins on 1st January 2015). This STF is mandated first to determine its own Terms of Reference (ToR), including a target date for the final output of the group and methods of soliciting various stakeholders' voices, second to create an implementation and actions plan and third to report back to the CAB at its next meeting in June 2015.

Additionally, the CAB strongly recommends that the convener call a meeting early in 2015, inviting participation from the entire CAB, to establish a common understanding of the CB-TF recommendations and their implications, and to thus determine the ToR for this group. Preliminary membership for this STF should be established during this initial meeting.

Decision 36/4 — CAB WG membership

The CAB notes the document CAB/1319/INF and appoints the new members identified therein to the respective working groups.

Decision 36/5 — CAB WG 10 – 2011 Masterplan implementation

The CAB thanks WG 10 for its 2011 Masterplan implementation plan given in Annex A of CAB/1313/DV, approves the plan and requests WG 10, WG 11 & WG

16 to identify, to collect and to prioritize all recommendations assigned to them within the implementation plan, to create separate actions plans and to submit them to CAB for approval by correspondence. Following approval, the CAB further requests that each of these WGs implement their respective plans as quickly as possible, and to report on their progress at each CAB meeting.

Decision 36/6 — CAB WG 14 – Promotion

The CAB re-establishes WG 14 – Promotion, names Mr Ian Forte as convener, and tasks the WG with, first, proposing their own ToR based on the recommendations identified for it in the Masterplan implementation plan, second, listing, prioritizing and creating an actions plan for the implementation of those recommendations and, third, submitting the ToR and actions plan to the CAB for approval by correspondence. Following approval, the CAB further requests that WG 14 implement the actions plan as quickly as possible, and report on its progress at each CAB meeting.

Decision 36/7 — CAB WG 10 – Compilation of CAB Policies

The CAB thanks WG 10 for its report on the Compilation of CAB Policies, document CAB/1315/DV, and, while recognizing that the content in Annex A consists of current policy that was previously approved by CAB and some minor clarifications, approves the new format proposed in Annex A and depending on the outcome of the Council meeting decision concerning the CAB membership structure, this document will be updated accordingly, without further CAB approval.

CAB further asks that Annex A be circulated as a separate document (for future reference) and made generally available, in an appropriate location, on the IEC website.

Decision 36/8 — CAB WG 10 – Future Revisions of CAB Policies

The CAB thanks WG 10 for the suggested elements to be considered for possible future integration into the CAB policy document, as given in Annexes B, C and D of CAB/1315/DV.

While recognizing that a policy document is a strategy document that should provide a minimum of high level, medium and long term guiding principles and should therefore not require frequent revision, the CAB also recognizes that to address the challenges of newly identified and anticipated market CA needs, CAB will conduct ongoing review of CA policy.

Therefore, in this context, CAB requests WG 10 to recommend revisions to the current policy document aimed at a minimum of essential changes, that are all clearly indicated, and to provide arguments for their justification, for CAB approval at its next meeting in June 2015.

Decision 36/9 — CAB WG 10 – Risk Management Grid

The CAB thanks WG 10 for its report on the Risk Management Grid (RMG), document CAB/1314/DV, approves the current version of the revised RMG given in its Annex A and, reiterating the importance of this grid and the need to maintain it at an appropriate level, requests that WG 10 continue to revise this grid as necessary and to present an updated version at future CAB meetings as needed.

Decision 36/10 — WG 11 Systems Issues – Harmonized Basic Rules

The CAB thanks WG 11 for its document CAB/1309A/R, approves the draft Harmonized Basic Rules (HBR) of the IEC CA Systems as given in Annex 2 with the deletion of clause 7.9 and the modification to clauses 8.11 and 15 given below, and requests that they be circulated to all four IEC CA Systems, IECEE, IECEx, IECQ and IECRE, for their considerations including that on the elements which the CA Systems might desire to include in the HBR as their own Supplements. The CA Systems are requested to report back to CAB in time for discussion and decision at the GM 2015 CAB meeting.

8.11 The Executive Group of the IEC CA System provides an advisory function and is ~~are~~ empowered to make operational decisions between MC meetings, as delegated to them by the MC ~~or CAB~~. They shall, in addition, carry out any other task(s) assigned to them by the MC ~~or CAB~~. With the Chairman's agreement, members of the Executive may attend all meetings associated with the IEC CA System.

15 Appeals

Appeals concerning all IEC CA Systems that can't be resolved at the CA Systems level ~~are handled by~~ shall be escalated to the Board of Appeal of the CAB. Annex B sets out procedures of the Board of Appeal at the CAB level.

Decision 36/11 — WG 16 Systems Approach in CA – Recommendations to the DMT

The CAB noted the document, CAB/1312A/R which resulted from a meeting between representatives of SMB and WG 16 in accordance with CAB Decision 35/24 from June 2014.

Decision 36/12 — WG 16 – Towards a global systems-approach CA framework

The CAB thanks WG 16 for its document, CAB/1311/R, endorses this report but reiterates its Decision 35/22 tasking WG 16 to work quickly towards fulfilling the recommendations given in document CAB/1253/R and which are the same given in CAB/1311/R.

Decision 36/13 — WG 17 Cyber Security

The CAB thanks WG 17 for its document, CAB/1316/R, notes and endorses this report. The CAB also requests WG 17 to map out relevant CA needs in the overall area of cyber security across IEC market and stakeholder groups and to come back to CAB with a proposed plan by the next CAB meeting in June 2015.

At the same time, the CAB supports the continued work of IECEE on Industrial Automation in this area to address more immediate cyber security needs of the Industrial Automation Industry and encourages the IECEE to continue the advancement of that work.

CAB requests that CAB WG 17 monitors the IECEE work on cyber security.

Decision 36/14 — Enhancing CAB meeting efficiency

The CAB thanks this Task Force, that was established under CAB Decision 35/5, and its report, document CAB/1308/R, endorses the recommendations given therein and requests that the CAB Secretariat, with the new CAB Chairman, report back to the CAB at its next meeting in June 2015, on the practical implementation of those recommendations including a proposed timetable and/or pilot programmes, etc.

The Task Force having successfully completed its mandate is hereby disbanded.

Decision 36/15 — *Document timeline table*

The CAB requests that the CAB Secretariat implement forthwith the Document Timeline Table as shown on page 10 of the report from the Task Force on CAB meeting efficiency in document CAB/1308/R.

Decision 36/16 — *IECQ 2013 accounts*

The CAB approves the IECQ audited accounts for 2013 contained in CAB/1305/INF.

Decision 36/17 — *IECQ Annual report*

The CAB noted and endorsed the annual report given in document CAB/1221/R.

Decision 36/18 — *IECEX 2013 accounts*

The CAB approves the IECEX audited accounts for 2013 contained in CAB/1298/INF.

Decision 36/19 — *IECEX Annual report*

The CAB noted and endorsed the annual report given in document CAB/1301/R.

Decision 36/20 — *IECEX Officer Appointments and Re-appointments*

The CAB, noting the documents CAB/1302/R and CAB/1299/INF, re-appoints Dr Alexander Zalogin as the IECEX Vice-Chairman and appoints Mr Thierry Houeix as the IECEX Treasurer, both for the period 1 January 2015 to 31 December 2017.

Decision 36/21 — *IECEE 2013 accounts*

The CAB approves the IECEE audited accounts for 2013 contained in CAB/1279A/INF.

Decision 36/22 — *IECEE Annual report*

The CAB noted and endorsed the annual report given in document CAB/1303B/R.

Decision 36/23 — *IECEE Rules of Procedure*

The CAB approves the amendments to the IECEE Basic Rules as indicated in the document CAB/1304/DV.

Decision 36/24 — *IECRE Annual report*

The CAB noted and endorsed the annual report given in document CAB/1307/R.

Decision 36/25 — *IECRE Officer Appointments*

The CAB, noting the document CAB/1307/R, accepts the nomination of Mr Sandy Butterfield as the IECRE Chairman and appoints him to this position immediately, with a first term from 1 January 2015 to 31 December 2017.

Decision 36/26 — *Misuse of the IEC Certificates and marks*

The CAB thanks the CA Systems for the reporting received on their monitoring of reported misuse of certificates and marks, and noted that the IECQ and IECEx reported no matters of misuse/abuse of marks or certificates requiring CAB's attention. The IECEE reported 2 cases of counterfeit certificates in 2014, neither of which required any action from CAB.

Decision 36/27 — *Gratitude to ILAC-IAF presenter*

The CAB expresses its gratitude to Mr Peter Unger for his presentation, CAB/1332/INF, recognizes that the pursuit of unified assessments of test laboratories and certification bodies is important, and encourages continued cooperation and confidence building activities between the three organisations.

Decision 36/28 — *Gratitude to WTO presenter*

The CAB expresses its gratitude to Mr Devin McDaniels for his presentation, CAB/1337/INF, and notes with interest the contribution that the IEC CA Systems can provide in line with the Good Regulatory Practice objectives of the WTO.

Decision 36/29 — *ITU conformity and interoperability CA*

The CAB thanks Chris Agius for the report, CAB/1326/R, which it endorses and, in view of decisions from the ITU meeting, held in the week prior to this CAB meeting, and the apparent speed at which ITU wish to move forward, decides to establish an ad hoc group, with Chris Agius as the convener, to provide a platform to create a firm proposal for ITU/IEC collaboration programme in compliance with CAB policy (including CAB Decisions 25/9 and 25/10) for consideration by CAB.

Decision 36/30 — *Gratitude to IRENA presenter*

The CAB expresses its gratitude to Mr Rudolf Kempener for his presentation, CAB/1333/INF, notes with great interest the IRENA goal to promote sustainable deployment of the six forms of renewable energy resources by acting as a hub, voice and source of objective information for renewable energy, and encourages beneficial interaction and exchanges between IRENA and the IEC CA activities especially with IECRE.

Decision 36/31 — *Gratitude to ASEAN presenter*

The CAB expresses its gratitude to Ms Aderina Panggabean for her presentation, CAB/1339/INF, notes with interest the creation of the ASEAN single market and production base for Electrical and Electronic Equipment and the pursuit of the free exchange of goods therein and supports and encourages continued beneficial interaction and exchanges between the IEC CA activities and ASEAN.

Decision 36/32 — *Gratitude to GCC/GSO presenter*

The CAB expresses its gratitude to Mr Basem Salameh for his presentation, CAB/1338/INF, notes with interest the objective to creation a single Regional (Gulf) Market and to establish a regional Customs Union in which goods and services available in one Member State may be freely available in all others, and supports and encourages beneficial interaction and exchanges between the IEC CA activities and the GCC/GSO.